

# Unification Theory, SS2012

## Exercise Set 1. Syntactic Unification

For the definitions and notation see the course materials. Some of the exercises are taken or adapted from F. Baader and T. Nipkow, Term Rewriting and All That. Cambridge University Press, 1998.

1. Prove the elementary properties of substitutions:
  - (a) Composition of substitutions is associative.
  - (b) For all  $\mathcal{X} \subseteq \mathcal{V}$ ,  $t$  and  $\sigma$ , if  $\text{vars}(t) \subseteq \mathcal{X}$  then  $t\sigma = t\sigma|_{\mathcal{X}}$ .
  - (c) For all  $\sigma$ ,  $\vartheta$ , and  $t$ , if  $t\sigma = t\vartheta$  then  $t\sigma|_{\text{vars}(t)} = t\vartheta|_{\text{vars}(t)}$ .
2. Prove that for any  $\sigma$  and  $\vartheta$ ,  $\sigma = \vartheta$  iff there exists a variable renaming substitution  $\eta$  such that  $\sigma\eta = \vartheta$ .
3. Prove the following statements about idempotent substitutions:
  - (a) A substitution  $\sigma$  is idempotent iff  $\text{dom}(\sigma) \cap \text{ran}(\sigma) = \emptyset$ .
  - (b) If  $\sigma$  is an idempotent substitution and  $\vartheta$  is an arbitrary substitution with the property  $\vartheta \subseteq \sigma$ , then  $\vartheta \leq \sigma$ . Find a counterexample for the case when  $\sigma$  is not idempotent.
  - (c) Let  $\sigma$  be an idempotent mgu of a unification problem  $P$ . Prove that  $\vartheta = \vartheta\sigma$  for any unifier  $\vartheta$  of  $P$ .
4. Formulate a sufficient condition for the equality  $\sigma\vartheta = \sigma \cup \vartheta$  to hold for any  $\sigma$  and  $\vartheta$ .
5. Let  $\sigma_1$  and  $\sigma_2$  be two substitutions such that  $\sigma_1 \leq \sigma_2$ . Prove or find a counterexample to the following statements:
  - (a)  $\sigma_1\vartheta \leq \sigma_2\vartheta$  for any  $\vartheta$ .
  - (b)  $\vartheta\sigma_1 \leq \vartheta\sigma_2$  for any  $\vartheta$ .
6. How many unifiers do the following unification problems have?
  - (a)  $\{x \doteq^? a\}$ .
  - (b)  $\{x \doteq^? y\}$ .
  - (c)  $\{x \doteq^? f(y)\}$ .
  - (d)  $\{x \doteq^? f(x)\}$ .
  - (e)  $\{f(x, x) \doteq^? f(a, b)\}$ .
  - (f)  $\{f(x) \doteq^? g(x)\}$ .
  - (g)  $\{x \doteq^? x\}$ .
7. Let  $\{x \doteq^? y\}$  be a unification problem. Which of the following substitutions are its mgu? Justify your answer.
  - (a)  $\{x \mapsto y\}$
  - (b)  $\{y \mapsto x\}$
  - (c)  $\{x \mapsto z, y \mapsto z\}$
  - (d)  $\{x \mapsto a, y \mapsto a\}$
  - (e)  $\{x \mapsto y, y \mapsto z, z \mapsto x\}$
  - (f)  $\{x \mapsto y, z \mapsto u, u \mapsto z\}$
  - (g)  $\{x \mapsto y, z \mapsto u, u \mapsto v, v \mapsto z\}$

8. Implement the Recursive Descent Algorithm in your favorite programming language.
9. Perform the steps of the Recursive Descent Algorithm and the inference system  $\mathcal{U}$  to the unification problems below:
  - (a)  $\{f(a, x, g(y, z)) \doteq^? f(z, y, x)\}.$
  - (b)  $\{f(x, y, z) \doteq^? f(h(u, u), h(x, x), h(y, y))\}.$
  - (c)  $\{f(x, f(y, f(a, a))) \doteq^? f(f(y, y), f(f(z, z), z))\}.$
10. Let  $P_1$  and  $P_2$  be unification problems. Show that if  $\sigma_1$  is an mgu of  $P_1$  and  $\sigma_2$  is an mgu of  $P_2\sigma_1$ , then  $\sigma_1\sigma_2$  is an mgu of  $P_1 \cup P_2$ .
11. Check if the following unification / matching problems are solvable:
  - (a)  $f(x, y) \doteq^? \setminus \leq^? f(h(a), x).$
  - (b)  $f(x, y) \doteq^? \setminus \leq^? f(h(x), a).$
  - (c)  $f(x, b) \doteq^? \setminus \leq^? f(h(y), x).$
  - (d)  $f(x, x) \doteq^? \setminus \leq^? f(h(y), y).$
12. Find a sufficient condition for the existence of an idempotent solution of a matching problem.
13. Modify the inference system  $\mathcal{U}$  such that they directly solve the matching problem (rather than first replacing all variables on the right-hand sides by constants). Allow for variables on both sides and detect unsolvability as early as possible.
14. Design a linear-time matching algorithm that allows variables in both terms.
15. Implement almost linear unification.