

# *Information Systems*

## *XSLT and XPath*

Temur Kutsia

Research Institute for Symbolic Computation  
Johannes Kepler University of Linz, Austria  
[kutsia@risc.uni-linz.ac.at](mailto:kutsia@risc.uni-linz.ac.at)

# Outline

XSLT

XPath

# XSLT

- ▶ Almost all applications that processes XML perform a transformation of some kind.
- ▶ Extensible Stylesheet Language Transformations (XSLT): The key technology to perform these transformations.
- ▶ XSLT can be used:
  - ▶ to transform one kind of XML grammar to another kind
  - ▶ to map XML documents to output documents that do not strictly follow the rules of proper XML document (e.g., HTML).

# How to Use XSLT

- ▶ Write rules, called templates.
- ▶ Match templates against elements in your input XML.
- ▶ The templates work by mapping XML tags and data from your input document to new and different tags of your choice in an output document

# Simple Application of XSLT

- ▶ Transform an XML grammar into an HTML document.
- ▶ The resulting document is viewable in a web browser.
- ▶ Three players in the transformation: The input XML, XSLT stylesheet, the output document.

# The Input XML

```
<?xml version="1.0"?>  
<message>Howdy !</message>
```

XML input file (data.xml)

# The XSLT Stylesheet

```
<?xml version="1.0"?>
<xsl:stylesheet version="1.0"
  xmlns:xsl="http://www.w3.org/1999/XSL/Transform">

  <!-- one rule, to transform the input root (/) -->
  <xsl:template match="/">
    <html><body>
      <!-- select message text using an XPath statement -->
      <h1><xsl:value-of select=".//message/text()" /></h1>
    </body></html>
  </xsl:template>
</xsl:stylesheet>
```

XSLT stylesheet for transforming XML into HTML (render.xsl)

We can verify that both data.xml and render.xsl are valid XML documents.

# Generating Output

- ▶ XSLT processor has to be installed.
- ▶ We use Saxon (on the .NET platform):  
<http://saxon.sourceforge.net/>.
- ▶ Command that transforms data.xml by render.xsl into HTML. The output is written in file out.html:

```
bin\Transform -t data.xml render.xsl > out.html
```

- ▶ (Full path information has to be included for the files involved.)
- ▶ **Output of the transformation:**  
`<html><body><h1>Howdy!</h1></body></html>`
- ▶ Output can be viewed in a browser.

# How XSLT Works

- ▶ XSLT templates act as rules that match against a source XML.
- ▶ When matched, the templates create fragments of output, usually based on values from the XML input document.
- ▶ In render.xsl, the template generates HTML elements `<html>`, `<body>`, and `<h1>`.
- ▶ The message text came from the source document.
- ▶ To retrieve the message, we used the XSLT instruction `xsl:value-of`.
- ▶ The instruction finds values based on an XPath query (explained later).

## How XSLT Works

- ▶ Templates use the `xsl:apply-templates` instruction to request that additional parts of the input XML be transformed.
- ▶ It might work a a cascade: firing more templates, generating more output and firing more templates, and so on.
- ▶ This cascade of activity is the basic mechanism by which XSLT generates output given an input document.

# How XSLT Works

- ▶ XSLT stylesheet: A list of rules.
- ▶ Can be accompanied by a few top-level instructions for general issues such as what type of character encodings the document should use.

# Schematic Layout of an XSLT File

```
<?xml version="1.0"?>
<xsl:stylesheet version="1.0"
xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
```

Top level instructions (e.g., encoding of output data)

```
<xsl:template match="first match condition">
  instructions for the first rule
</xsl:template>

<xsl:template match="second match condition">
  instructions for the second rule
</xsl:template>

...
</xsl:stylesheet>
```

# XPath in XSLT

- ▶ The XPath query language is used throughout XSLT.
- ▶ Examples:
  - ▶ The value of the `xsl:template` `match` attribute which starts the processing chain at the root of the XML document:

```
<xsl:template match="/">
```
  - ▶ The value of the `xsl:value-of` `select` attribute, which actually extracts the character data between `<text>` and `</text>` tags:

```
<xsl:value-of select=".//message/text()">
```
- ▶ These values are XPath expressions, called location paths.
- ▶ `text()` is the XPath function used to specify the text contained within the `<message>` element.

# Namespaces in XSLT

- ▶ XSLT instructions are prefixed with `xsl::`.
- ▶ Any template element without this prefix will simply be written to the destination element rather than executed.
- ▶ The `xsl:stylesheet` line in your XSLT file should read exactly as

```
<xsl:stylesheet version="1.0"  
    xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
```

- ▶ `URI http://www.w3.org/1999/XSL/Transform` is case-sensitive!
- ▶ `xsl` prefix is just a convention: Any prefix can be used to qualify XSLT instructions as long as they are associated with the correct XSLT namespace.

# Basic XSLT

- ▶ Fundamentals of XSLT:
  - ▶ how to write templates;
  - ▶ how they work together to create output.
- ▶ XSLT instructions are identified using the `xsl` prefix (convention).
- ▶ An element in the stylesheet not tagged with `xsl` is considered an output element.

# Concepts: Applying Templates

## Example

- ▶ Given: a list of messages in an XML document.
- ▶ Goal: write them out as an HTML numbered list.
- ▶ The messages:

```
<?xml version="1.0" ?>
<system>
    <stamp>12-03-02 23:13</stamp>
    <msgs>
        <msg type="info">System started</msg>
        <msg type="info">Logging in user maryk</msg>
        <msg type="info">User 'bobm' not found</msg>
    </msgs>
    ...
</system>
```

# Concepts: Applying Templates

## Example (Cont.)

- ▶ The root element can be matched automatically by the XSLT processor. Let's use this rule as a starting point:

```
<xsl:template match="/system">
  <html><body style="font:normal larger tahoma">
    <h3>Log started:
      <xsl:value-of select=".//stamp/text()"/>
    </h3>
    <ol><xsl:apply-templates select=".//msgs/msg"/></ol>
  </body></html>
</xsl:template>
```

- ▶ **<system>**: the base element of the template.
- ▶ The contents of the **<stamp>** element is outputted using the **xsl:value-of select** command within an **<ol>** element.
- ▶ **xsl:apply-templates** kicks off the transformation template responsible for the nodes matching the **select** attribute (i.e., all **<msg>** statements).

# Concepts: Applying Templates

## Example (Cont.)

- ▶ The instruction

```
<xsl:apply-templates select=".//msg" />
```

is saying, "fire the template that handles the `<msg>` elements that are descendants of `<msgs>`."

- ▶ The corresponding "match" for this select is

```
<xsl:template match="msg">  
  <li><xsl:value-of select=".//text()" /></li>  
</xsl:template>
```

- ▶ This template is called by the `xsl:apply-templates` every time a `<msg>` element is encountered by the processor.

# Concepts: Applying Templates

## Example (Cont.)

- ▶ Final XSLT stylesheet:

```
<?xml version="1.0"?>
<xsl:stylesheet version="1.0"
    xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="/system">
    <html><body style="font:normal larger tahoma">
        <h3>Log started:
            <xsl:value-of select=".//stamp/text()"/></h3>
        <ol>
            <xsl:apply-templates select=".//msgs/msg"/>
        </ol>
    </body></html>
</xsl:template>
<xsl:template match="msg">
    <li><xsl:value-of select=".//text()"/></li>
</xsl:template>
</xsl:stylesheet>
```

# Concepts: Applying Templates

## Example (Cont.)

- ▶ Result of transformation:

```
<html>
  <body style="font:normal larger tahoma">
    <h3>Log started: 12-03-02 23:13</h3>
    <ol>
      <li>System started</li>
      <li>Logging in user maryk</li>
      <li>User 'bobm' not found</li>
    </ol>
  </body>
</html>
```

# Concepts: Applying Templates

## Example (Cont.)

Summarizing the example:

- ▶ The task was to render a given XML document in a browser.
- ▶ To accomplish the task, we avoided any programming and instead used XSLT to create a transformation stylesheet.
- ▶ When our XML file is passed through our XSL file, the `<msg>` elements in the source file are translated into HTML tags.
- ▶ These tags are output into an HTML file.

# Context

```
<?xml version="1.0"?>
<xsl:stylesheet version="1.0"
    xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="/system">
    <html><body style="font:normal larger tahoma">
        <h3>Log started:
            <xsl:value-of select=".//stamp/text()"/></h3>
        <ol>
            <xsl:apply-templates select=".//msgs/msg"/>
        </ol>
    </body></html>
</xsl:template>
<xsl:template match="msg">
    <li><xsl:value-of select=".//text()"/></li>
</xsl:template>
</xsl:stylesheet>
```

# Context

```
<?xml version="1.0"?>
<xsl:stylesheet version="1.0"
    xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="/system">
    <html><body style="font:normal larger tahoma">
        <h3>Log started:
            <xsl:value-of select=".//stamp/text()"/></h3>
        <ol>
            <xsl:apply-templates select=".//msgs/msg"/>
        </ol>
    </body></html>
</xsl:template>
<xsl:template match="msg">
    <li><xsl:value-of select=".//text()"/></li>
</xsl:template>
</xsl:stylesheet>
```

- ▶ `/system` fixes the context at which the template is fired.
- ▶ Navigating the context is like navigating in a file directory.

# Context

```
<?xml version="1.0"?>
<xsl:stylesheet version="1.0"
    xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="/system">
    <html><body style="font:normal larger tahoma">
        <h3>Log started:
            <xsl:value-of select=".//stamp/text()"/></h3>
        <ol>
            <xsl:apply-templates select=".//msgs/msg"/>
        </ol>
    </body></html>
</xsl:template>
<xsl:template match="msg">
    <li><xsl:value-of select=".//text()"/></li>
</xsl:template>
</xsl:stylesheet>
```

- ▶ `".//stamp/text()"`: We are interested in the data stored in the `<stamp>` element, which also resides in `<system>`.
- ▶ `".."` indicates relative to the current context.

# Context

```
<?xml version="1.0"?>
<xsl:stylesheet version="1.0"
    xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="/system">
    <html><body style="font:normal larger tahoma">
        <h3>Log started:
            <xsl:value-of select=".//stamp/text()"/></h3>
        <ol>
            <xsl:apply-templates select=".//msgs/msg"/>
        </ol>
    </body></html>
</xsl:template>
<xsl:template match="msg">
    <li><xsl:value-of select=".//text()"/></li>
</xsl:template>
</xsl:stylesheet>
```

- ▶ `<xsl:apply-templates select=".//msgs/msg"/>` says:  
“Relative to where I am now, there is an `<msgs>` element containing one or more `<msg>` elements.”
- ▶ “Find a template that knows how to handle `<msg>` elements, and fire it.”

# Context

```
<?xml version="1.0"?>
<xsl:stylesheet version="1.0"
    xmlns:xsl="http://www.w3.org/1999/XSL/Transform">
<xsl:template match="/system">
    <html><body style="font:normal larger tahoma">
        <h3>Log started:
            <xsl:value-of select=".//stamp/text()"/></h3>
        <ol>
            <xsl:apply-templates select=".//msgs/msg"/>
        </ol>
    </body></html>
</xsl:template>
<xsl:template match="msg">
    <li><xsl:value-of select=".//text()"/></li>
</xsl:template>
</xsl:stylesheet>
```

- ▶ **<xsl:template match="msg">:** Start tag for the template that knows how to transform `<msg>` elements.

# Concepts: Accessing Attributes

## Example

- ▶ Process inventory records having the following form in XML:

```
<item id="31741", q="12">tshirt</item>
<item id="31752", q="19">banner</item>
```

- ▶ Goal: output these records as HTML:

```
<div>31741 (tshirt) : 12</div>
<div>31752 (banner) : 19</div>
```

- ▶ How? Extract values of attributes:

```
<xsl:template match="item">
  <div>
    <xsl:value-of select="@id"/>
    (<xsl:value-of select=".//text()" />):
    <xsl:value-of select="@q"/>
  </div>
</xsl:template>
```

# Concepts: Wildcards

## Example

- ▶ The wildcard character “\*” is used when we wish to match against any element or attribute.
- ▶ Given: An XML file where the `<name>` element occurs in different places:

```
<employees>
    <manager><name>Jennifer Lo</name></manager>
    <vp><name>Caldera Peng</name></vp>
    <developer><name>Familia Muesli</name></developer>
</employees>
```

- ▶ Handle all employee names in a single template in an identical fashion:

```
<xsl:apply-templates select="/employees/*/*>"/>
```

## Concepts: Default Templates

- ▶ What happens when `xsl:apply-templates` is invoked but no matching template exists?
- ▶ Default templates “catch all”: Take all values from the unmatched nodes and pass them through as output.
- ▶ Implicit default rule for elements and the root node:

```
<xsl:template match="* | /">
  <xsl:apply-templates select="*"/>
</xsl:template>
```

- ▶ Implicit default rule for text nodes and attributes:

```
<xsl:template match="text() | @*">
  <xsl:value-of select="."/>
</xsl:template>
```

# Concepts: Accessing Parent Elements

## Example

- ▶ The parent of the current context node is referenced by “..”.
- ▶ Given:

```
<mentors>
    <mentor>sallym<sales>dough</sales></mentor>
    <mentor>samp<ops>peters</ops></mentor>
    <mentor>bobg<sales>jillp</sales></mentor>
</mentors>
```

- ▶ Output a list that includes only the new sales employees and their mentors:

```
<xsl:template match="/">
    <xsl:apply-templates select="mentors/mentor/sales"/>
</xsl:template>
<xsl:template match="sales">
    <div><xsl:value-of select=".//text()"/>'s mentor is
    <xsl:value-of select="..//text()"/></div>
</xsl:template>
```

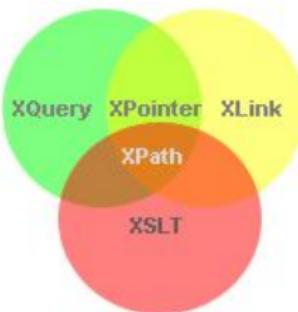
## Concepts: Recursive Descent

- ▶ The recursive decent operator “//” can be used to find all nodes regardless of their notation.
- ▶ `<xml:apply-templates select="//" />`: Act on all nodes in the document.
- ▶ `<xml:apply-templates select=".//" />`: Act on all descendants of the current context node.
- ▶ “//” is used occasionally. An expensive operation.

# XSLT: Brief Summary

- ▶ A template's context determines the location in the XML in order to
  - ▶ extract values using `xsl:value-of`,
  - ▶ apply additional templates using `xsl:apply-templates`,
  - ▶ etc.
- ▶ When `xsl:apply-templates` is used, an XSLT template is "fired" or, if none matches, the default template rules are used.
- ▶ XPath is used extensively in XSLT to select nodes.

# XPath



## What is XPath?

- ▶ XPath is a language whose primary purpose is to provide common syntax and functionality to address parts of XML documents.
- ▶ XPath uses path expressions to navigate in XML documents.
- ▶ XPath contains a library of standard functions.
- ▶ XPath is a major element in XSLT.

# XPath

- ▶ XPath operates on the logical structure of an XML document and uses a syntax that resembles to the path constructions in URIs.
- ▶ XPath models an XML document as a tree of nodes (e.g. elements, attributes, namespaces, etc.)
- ▶ XPath expressions can compute strings, numbers, sets of nodes from the data of XML documents.

# Location Paths

- ▶ Location paths are special expressions for selecting a set of nodes.
- ▶ A location path consists of location steps composed together from left to right and separated by '/'.
- ▶ An absolute location path is one that starts with a '/'.
- ▶ Relative location paths are defined always with respect to the context node.

## Example

The node selection is analogous to the file selection in a Unix-like file system.

```
.. / reports /* / summary
```

# Location Paths

## Example

Path Expression	Result
/bookstore	Selects the root element bookstore Note: If the path starts with a slash ( / ) it always represents an absolute path to an element!
bookstore/book	Selects all book elements that are children of bookstore.

# Location Paths

## Example

Path Expression	Result
//book	Selects all book elements no matter where they are in the document.
bookstore//book	Selects all book elements that are descendant of the bookstore element, no matter where they are under the bookstore element.
//@lang	Selects all attributes that are named lang.

# Predicates

- ▶ Predicates are used to find a specific node or a node that contains a specific value.
- ▶ Predicates are always embedded in square brackets.

## Example

Path Expression	Result
/bookstore/book[1]	Selects the first book element that is the child of the bookstore element.
/bookstore/book[last()-1]	Selects the last but one book element that is the child of the bookstore element.
/bookstore/book[position()<3]	Selects the first two book elements that are children of the bookstore element.

# Predicates

## Example

### Path Expression

//title[@lang='eng']

/bookstore/book [price>35.00]

### Result

Selects all the title elements that have an attribute named lang with a value of 'eng'.

Selects all the book elements of the bookstore element that have a price element with a value greater than 35.00.

# Selecting Unknown Nodes

XPath wildcards can be used to select unknown XML elements.

## Example

Wildcard	Result
/bookstore/*	Selects all the child nodes of the bookstore element.
//*[@*	Selects all elements in the document.
//title[@*]	Selects all title elements which have any attribute.

# Selecting Several Paths

By using the | operator in an XPath expression you can select several paths.

## Example

Path Expression	Result
//title   //price	Selects all the title AND price elements in the document.

## Location Steps

Location steps have the following parts:

- ▶ **axis.** It specifies the (in-tree) relationship between the context node and the nodes selected by the location step:  
Available axes: child, descendant, parent, ancestor, followingsibling, preceding-sibling, following, preceding, attribute, namespace, self, descendant-or-self, ancestor-or-self. (Used explicitly in “long notation”)
- ▶ **node test.** Specifies the node type for the nodes selected by the location step (separated by :: from the axis).
- ▶ **predicate.** It specifies further expressions with boolean value, to refine the selected node set (enclosed in [ ], described on before).

## Example

- ▶ This location path (using the “long notation”) selects all attributes of all the email elements.

```
/child::folder/child::email/attribute::*
```

- ▶ This selects only the attribute of the first email element in the XML document.

```
/child::folder/child::*[position()=1]//@*
```

- ▶ What does this do?

```
/folder/email/to[string()='rob@jku.at']/../@date
```

# XPath Expressions

- ▶ Simple expressions: numerical and string literals, variable references, function calls.
- ▶ Values can be bound to variable names in XSLT. The value of `x` can be retrieved by `$x`.
- ▶ Basic arithmetic operations are available for numbers.
- ▶ More complex expressions are location paths and boolean expressions (e.g. using `<`, `>`, `!=`, `=` and logical connectives `and`, `or`).
- ▶ Implicit coercion works from strings to numbers and from numbers to booleans as needed.