Schützenberger's factorization on q-stuffle Hopf algebra

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Schützenberger's monoidal factorization [9] has been introduced and plays a central role in the renormalization [7] of associators which are formal power series in non commutative variables¹. The coefficients of these power series are polynomial at positive integral multi-indices of Riemann's zêta function² [5, 10] and they satisfy quadratic relations [1] which can be explained through Lyndon words. These relations can be obtained by identification of the local coordinates on a bridge equation connecting the Cauchy and Hadamard algebras of polylogarithmic functions and use the factorizations of the non commutative generating series of polylogarithms [6] and of harmonic sums [7]. This equation is mainly a consequence of the double isomorphy between these structures to respectively the shuffle [6] and stuffle [3] algebras both admitting the Lyndon words as a transcendence basis.

Symbolic computation allows us to introduce a formal variable q in order to better understand the mechanisms of the shuffle and to obtain algorithms on stuffles. We will then examine the q-stuffle interpolating between the shuffle [9], stuffle [8] and minus-stuffle [3]. In particular, we will give an effective construction of pair of bases in duality. It uses essentially an adapted version of the Eulerian projector in order to obtain the primitive elements of the q-stuffle Hopf algebra and they are obtained thanks to the computation of the logarithm of the diagonal series. This study completes the treatment for the stuffle [7] and boils down to the shuffle [9].

More precisely, let $Y = \{y_s\}_{s\geq 1}$ be an alphabet with the total order $y_1 > y_2 > \cdots$. Let also **k** be a unitary \mathbb{Q} -algebra containing q. One defines the q-stuffle, or its dual co-product, as follows, for any $y_s, y_t \in Y$ and $u, v \in Y^*$,

$$u \boxplus_{q} 1_{Y^{*}} = 1_{Y^{*}} \boxplus_{q} u = u \quad \text{and} \quad y_{s} u \boxplus_{q} y_{t} v = y_{s}(u \boxplus_{q} y_{t} v) + y_{t}(y_{s} u \boxplus_{q} v) + qy_{s+t}(u \boxplus_{q} v), \tag{1}$$

$$\Delta_{\amalg_{q}}(1_{Y^{*}}) = 1_{Y^{*}} \otimes 1_{Y^{*}} \quad \text{and} \quad \Delta_{\amalg_{q}}(y_{s}) = y_{s} \otimes 1_{Y^{*}} + 1_{Y^{*}} \otimes y_{s} + q \sum_{s_{1}+s_{2}=s} y_{s_{1}} \otimes y_{s_{2}}.$$
(2)

This product is commutative, associative and unital. With the co-unit defined by, $\epsilon(P) = \langle P \mid 1_{Y^*} \rangle$, for $P \in \mathbf{k} \langle Y \rangle$, one gets $\mathcal{H}_{\amalg_q} = (\mathbf{k} \langle Y \rangle, \operatorname{conc}, 1_{Y^*}, \Delta_{\amalg_q}, \epsilon)$ and $\mathcal{H}_{\amalg_q}^{\vee} = (\mathbf{k} \langle Y \rangle, \amalg_q, 1_{Y^*}, \Delta_{\operatorname{conc}}, \epsilon)$ which are mutually dual bialgebras and, in fact, Hopf algebras because they are N-graded by the weight.

Group-like elements, redefined below, form a group for which the log-exp correspondence is explained by as follows

Lemma 1 (q-extended Friedrichs criterium) Let $S \in \mathbf{k} \langle\!\langle Y \rangle\!\rangle$ (for 2., we suppose in addition that $\langle S \mid 1_{Y^*} \rangle = 1$).

- 1. S is primitive, i.e. $\Delta_{\amalg_q}S = S \otimes 1_{Y^*} + 1_{Y^*} \otimes S$, if and only if, for any $u, v \in Y^+$, $\langle S \mid u \amalg_q v \rangle = 0$.
- 2. S is group-like, i.e. $\Delta_{\perp} S = S \otimes S$, if and only if, for any $u, v \in Y^+$, $\langle S \mid u \perp_q v \rangle = \langle S \mid u \rangle \langle S \mid v \rangle$.
- 3. S is group-like if and only if $\log S$ is primitive.

Proposition 1 Let $\mathcal{D}_Y = \sum_{w \in Y^*} w \otimes w$ be the diagonal series over Y. Then

1.
$$\log \mathcal{D}_Y = \sum_{w \in Y^+} w \otimes \pi_1(w), \text{ where } \pi_1(w) = w + \sum_{k \ge 2} \frac{(-1)^{k-1}}{k} \sum_{u_1, \dots, u_k \in Y^+} \langle w \mid u_1 \bowtie_q \dots \bowtie_q u_k \rangle u_1 \dots u_k.$$

2. For any
$$w \in Y^*$$
, we have $w = \sum_{k \ge 0} \frac{1}{k!} \sum_{u_1, \dots, u_k \in Y^+} \langle w \mid u_1 \bowtie_q \dots \bowtie_q u_k \rangle \pi_1(u_1) \dots \pi_1(u_k).$

¹These associators were introduced in quantum field theory by Drinfel'd and the universal associator, *i.e.* Φ_{KZ} , was obtained with explicit coefficients which are polyzêtas and regularized polyzêtas [5].

 $^{^{2}}$ These values are usually abbreviated MZV's by Zagier [10] and are also called polyzêtas by Cartier [1].

Let $\mathcal{P} = \{P \in \mathbb{Q}\langle Y \rangle \mid \Delta_{\bowtie_q} P = P \otimes 1 + 1 \otimes P\}$ be the set of primitive polynomials. Since, in virtue of $\Delta_{\bowtie_q} \pi_1(w) = \pi_1(w) \otimes 1 + 1 \otimes \pi_1(w)$, $\operatorname{Im}(\pi_1) \subseteq \mathcal{P}$, we can state the following

- **Theorem 1 ([2])** 1. Let $\{\Pi_l\}_{l \in \mathcal{L}ynY}$ be defined by, for any $y_k \in Y, \Pi_{y_k} = \pi_1(y_k)$ and for any $l \in \mathcal{L}ynX$ of standard factorization $l = (s, r), \Pi_l = [\Pi_s, \Pi_r]$. Then $\{\Pi_l\}_{l \in \mathcal{L}ynY}$ forms a basis of \mathcal{P} .
 - 2. Let $\{\Pi_w\}_{w\in Y^*}$ be defined by, for any $w \in Y^*$ such that $w = l_1^{i_1} \dots l_k^{i_k}, l_1 > \dots > l_k, l_1 \dots, l_k \in \mathcal{L}ynY$, $\Pi_w = \Pi_{l_1}^{i_1} \dots \Pi_{l_k}^{i_k}$. Then $\{\Pi_w\}_{w\in Y^*}$ forms a basis of $\mathbf{k}\langle Y \rangle$.
 - 3. Let $\{\Sigma_w\}_{w \in Y^*}$ be the family of the quasi-shuffle algebra obtained by duality with $\{\Pi_w\}_{w \in Y^*}$. Then $\{\Sigma_w\}_{w \in Y^*}$ generates freely the quasi-shuffle algebra.
 - 4. The family $\{\Sigma_l\}_{l \in \mathcal{L}ynY}$ forms a transcendence basis of $(\mathbf{k}\langle Y \rangle, \perp)$.

We now give formulas which permit to compute the basis $\{\Sigma_w\}_{w\in Y^*}$ without inverting a huge Gram matrix.

Theorem 2 (q-extended Schützenberger's factorization, [2]) 1. For any $y \in Y$, $\Sigma_y = y$.

2. For any
$$y_{s_1} \dots y_{s_k} \in \mathcal{L}ynX$$
, $\Sigma_{y_{s_1}\dots y_{s_k}} = \sum_{\substack{\{s'_1,\dots,s'_k\} \in (s_1,\dots,s_k\}, l_1 \ge \dots \ge l_n \in \mathcal{L}ynY \\ (y_{s_1}\dots y_{s_k}) \notin (y_{s'_1}\dots y_{s'_n}, l_1,\dots, l_n)} \frac{q^{i-1}}{i!} y_{s'_1+\dots+s'_i} \Sigma_{l_1\dots l_n}.$
3. For any $w = l_1^{i_1} \dots l_k^{i_k}$, with $l_1,\dots,l_k \in \mathcal{L}ynY$ and $l_1 > \dots > l_k$, $\Sigma_w = \frac{\sum_{l_1}^{l \pm l} q^{i_1} \pm l_2 \dots \pm l_n \sum_{l_k} \sum_{l_k} \frac{1}{i_1!} \sum_{l_k} \sum_{l_k}$

4.
$$\mathcal{D}_Y = \sum_{w \in Y^*} \Sigma_w \otimes \Pi_w = \prod_{l \in \mathcal{L}ynY} \exp(\Sigma_l \otimes \Pi_l).$$

Theorems 1.1 and 2.2 are based mainly on respectively the logarithm of the diagonal series \mathcal{D}_Y and the standard sequences [9, 2] and lead to simplified algorithms getting bases in duality as shown in the following

Example 1

 $\begin{array}{rclcrcl} \Pi_{y_2} & = & y_2 - \frac{q}{2}y_1^2, \\ \Pi_{y_2y_1} & = & y_2y_1 - y_1y_2, \\ \Pi_{y_3y_1y_2} & = & y_3y_1y_2 - \frac{q}{2}y_3y_1^3 - qy_2y_1^2y_2 + \frac{q^2}{4}y_2y_1^4 - y_1y_3y_2 + \frac{q}{2}y_1y_3y_1^2 + \frac{q}{2}y_1^2y_2^2 - \frac{q^2}{2}y_1^2y_2y_1^2 - y_2y_3y_1 \\ & + & \frac{q}{2}y_2^2y_1^2 + y_2y_1y_3 + \frac{q}{2}y_1^2y_3y_1 - \frac{q}{2}y_1^3y_3 + \frac{q}{4}y_1^4y_2, \\ \Pi_{y_3y_1y_2y_1} & = & y_3y_1y_2y_1^2 - \frac{q}{2}y_2y_1^2y_2y_1 - y_1y_3y_2y_1 + y_1y_3y_1y_2 + \frac{q}{2}y_1^2y_2^2y_1 - \frac{q}{2}y_1^2y_2y_1y_2 - y_2y_1y_3y_1 \\ & + & \frac{q}{2}y_2y_1y_2y_1^2 + y_2y_1^2y_3 + y_1y_2y_3y_1 - \frac{q}{2}y_1y_2^2y_1^2 - y_1y_2y_1y_3 + \frac{q}{2}y_1y_2y_1^2y_2. \\ \Sigma_{y_2y_1} & = & y_2, \\ \Sigma_{y_3y_1y_2y_1} & = & y_3y_1y_2 + y_3y_2y_1 + qy_3^2 + \frac{q}{2}y_4y_2 + \frac{q^2}{3}y_6 + \frac{q}{2}y_5y_1, \\ \Sigma_{y_3y_1y_2y_1} & = & 2y_3y_2y_1^2 + qy_3y_2^2 + y_3y_1y_2y_1 + \frac{3q}{2}y_3^2y_1 + \frac{q}{2}y_3y_1y_3 + \frac{q^2}{2}y_3y_4 + \frac{q}{2}y_4y_2y_1 + \frac{q^2}{4}y_4y_3 + qy_5y_1^2 + \frac{q^2}{2}y_5y_2 + \frac{q^2}{2}y_6y_1 + \frac{q^3}{8}y_7. \end{array}$

In conclusion, since the pioneering works of Schützenberger and Reutenauer [9], the question of computing bases in duality (maybe at the cost of a more involved procedure, but without inverting a Gram matrix) remained open in the case of cocommutative deformations of the shuffle product. We have given such a procedure allowing a great simplification for an interpolation between shuffle and stuffle. In the next framework, this product will be continuously deformed, in the most general way while remaining commutative [4].

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