# Pillwein's Proof of Schöberl's Conjecture 

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## Polynomial Inequalities

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... if we have a fast computer.

## Polynomial Inequalities

- Problem 11251 (Marian Tetiva; vol. 113(10), 2006, p. 847): Let $a, b, c$ be positive real numbers, two of which are $\leq 1$, satisfying $a b+a c+b c=3$. Show that

$$
\frac{1}{(a+b)^{2}}+\frac{1}{(a+c)^{2}}+\frac{1}{(b+c)^{2}}-\frac{3}{4} \geq \frac{3(a-1)(b-1)(c-1)}{2(a+b)(a+c)(b+c)}
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- Problem 11301 (Finbarr Holland; vol. 114(10), 2007, p. 547): Find the least number $M$ such that for all $a, b, c$, $\left|a b\left(a^{2}-b^{2}\right)+b c\left(b^{2}-c^{2}\right)+c a\left(c^{2}-a^{2}\right)\right| \leq M\left(a^{2}+b^{2}+c^{2}\right)^{2}$.


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- quantifiers $(\forall, \exists)$


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One such algorithm is due to Collins (Cylindrical Algebraic Decomposition, CAD, 1975).

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& \left.\quad \leq M\left(a^{2}+b^{2}+c^{2}\right)^{2}\right) \\
& \xrightarrow{C A D} M \geq \frac{9}{32} \sqrt{2}
\end{aligned}
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The rest of this talk is about inequalities that can be proven by CAD with thinking only.

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- View $(x+1)^{n}-(1+n x)$ as a sequence of polynomials.
- View Bernoulli's inequality as a sequence of polynomial inequalities.


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- Exploit the recurrence $f_{n+1}(x)=(x+1) f_{n}(x)+n x^{2}$
- Generalize $f_{n}(x)$ to $y$ and $n \in \mathbb{N}$ to $n \geq 0$
- The resulting formula is indeed true.


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- This completes the proof.


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Message:
We may use CAD to construct an induction proof for the positivity of a quantity satisfying a recurrence.

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- $P_{7}(x)=\frac{429}{16} x^{7}-\frac{693}{16} x^{5}+\frac{315}{16} x^{3}-\frac{35}{16} x$
- $P_{8}(x)=\frac{6435}{128} x^{8}-\frac{3003}{32} x^{6}+\frac{3465}{64} x^{4}-\frac{315}{32} x^{2}+\frac{35}{128}$


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These polynomials satisfy

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\int_{-1}^{1} P_{n}(x) P_{m}(x) d x=\frac{2}{2 n+1} \delta_{n, m}
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so they are orthogonal to each other.


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## Legendre Polynomials: Turan's Inequality

Here is an inequality about $P_{n}(x)$ that can be shown with CAD:

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- This is known as Turan's inequality.
- For specific $n$, it is just a polynomial inequality.
- For general $n$, it is not easy. (Try it.)

A proof for general $n$ can be obtained in the same way as for Bernoulli's inequality.

## Legendre Polynomials: Turan's Inequality

Here is an inequality about $P_{n}(x)$ that can be shown with CAD:

Induction step:

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\forall n \in \mathbb{N} \forall x:-1 \leq x \leq 1 \Rightarrow \underbrace{P_{n+1}^{2}(x)-P_{n}(x) P_{n+2}(x)}_{=: \Delta_{n}(x)} \geq 0
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\forall n \in \mathbb{N} \forall x:\left(-1 \leq x \leq 1 \wedge \Delta_{n}(x) \geq 0\right) \Rightarrow \Delta_{n+1}(x) \geq 0
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$$
\forall n \in \mathbb{N} \forall x:\left(-1 \leq x \leq 1 \wedge \Delta_{n}(x) \geq 0\right) \Rightarrow \Delta_{n+1}(x) \geq 0
$$

Use the recurrence for $P_{n}(x)$ to obtain

$$
\begin{aligned}
\Delta_{n}(x)= & \frac{(n+1)}{n+2} P_{n}(x)^{2}-\frac{2 n+3}{n+2} x P_{n+1}(x) P_{n}(x)+P_{n+1}(x)^{2} \\
\Delta_{n+1}(x)= & \frac{(n+1)^{2}}{(n+2)^{2}} P_{n}(x)^{2}-\frac{(n+1)\left(2 n^{2}+9 n+8\right) x}{(n+2)^{2}(n+3)} P_{n+1}(x) P_{n}(x) \\
& +\frac{(n+2)^{3}-(2 n+3) x^{2}}{(n+2)^{2}(n+3)} P_{n+1}(x)^{2}
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which is indeed true.

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which is indeed true. This proves the induction step.

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which is indeed true. This proves the induction step.
The induction base $\Delta_{0}(x) \geq 0$ is trivial. This completes the proof.

## Legendre Polynomials: Turan's Inequality

## Message:

A "deep" special function inequality may be just an immediate consequence of a polynomial inequality.

## Legendre Polynomials: Turan's Inequality

Turan's inequality

$$
\Delta_{n}(x)=P_{n+1}(x)^{2}-P_{n}(x) P_{n+2}(x) \geq 0
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Turan's inequality can be improved to

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\Delta_{n}(x)=P_{n+1}(x)^{2}-P_{n}(x) P_{n+2}(x) \geq \alpha_{n}\left(1-x^{2}\right)
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\text { where } \alpha_{n}=\Delta_{n}(0) \text {. }
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Can we show this also by induction?

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where $\alpha_{n}=\Delta_{n}(0)$.
Can we show this also by induction?
We have the recurrence

$$
\begin{aligned}
& (n+3)(n+4) \alpha_{n+2} \\
& =(2 n+5) \alpha_{n+1}+(n+1)(n+2) \alpha_{n} .
\end{aligned}
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A Tarski formula encoding the induction step would be...

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& \Rightarrow\left(\frac{(n+1)^{2}\left((n+3)^{3}-(2 n+5) x^{2}\right)}{(n+4)(n+3)^{2}(n+2)^{2}} y^{2}\right. \\
& \quad+\frac{(n+1)\left(2(2 n+3)(2 n+5) x^{2}-\left(2 n^{4}+21 n^{3}+83 n^{2}+142 n+86\right)\right)}{(n+4)(n+3)^{2}(n+2)^{2}} x y z \\
& \quad+\frac{\left((n+4)(n+2)^{4}-(2 n+3)^{2}(2 n+5) x^{4}+(n+1)(2 n+3)(2 n+5) x^{2}\right)}{(n+4)(n+3)(n+2)} z^{2} \\
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Unfortunately, this is false.

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Unfortunately, this is false. We must be more careful.

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Observations:

- By symmetry, it suffices to consider $x \geq 0$.


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- For $x>0$, it suffices to show that $\Delta_{n}(x) /\left(1-x^{2}\right)$ is increasing.


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- For $x=0$ there is nothing to show.
- For $x>0$, it suffices to show that $\Delta_{n}(x) /\left(1-x^{2}\right)$ is increasing.
New idea: Show that $\frac{d}{d x} \frac{\Delta_{n}(x)}{1-x^{2}} \geq 0$


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We have

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\begin{aligned}
\frac{d}{d x} \frac{\Delta_{n}(x)}{1-x^{2}}= & \left((n-1) n P_{n}(x)^{2}-\left((2 n+1) x^{2}-1\right) P_{n}(x) P_{n+1}(x)\right. \\
& \left.+(n+1) x P_{n+1}(x)^{2}\right) /\left(n\left(1-x^{2}\right)^{2}\right)
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& \left.+(n+1) x P_{n+1}(x)^{2}\right) /\left(n\left(1-x^{2}\right)^{2}\right)
\end{aligned}
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A positivity proof for the latter expression by CAD and induction on $n$ succeeds.

## Legendre Polynomials: Turan's Inequality

Message:
A special function inequality may require some non-obvious manipulation before an induction proof via CAD succeeds.

## Schöberl's Conjecture

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- In the higher order finite element method (FEM), solutions of PDEs are locally approximated by polynomials.



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- Some basis polynomials lead to better numerical performance than the
 standard basis $1, x, x^{2}, x^{3}, \ldots$.


## Schöberl's Conjecture

- In the higher order finite element method (FEM), solutions of PDEs are locally approximated by polynomials.
- Some basis polynomials lead to better numerical performance than the
 standard basis $1, x, x^{2}, x^{3}, \ldots$.
- In a certain application, a basis $f_{0}(x), f_{1}(x), f_{2}(x), \ldots$ was needed which satisfies


## Schöberl's Conjecture

- In the higher order finite element method (FEM), solutions of PDEs are locally approximated by polynomials.
- Some basis polynomials lead to better numerical performance than the
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- $\int_{-1}^{1} f_{n}(x) q(x) d x=q(0)$ for all $q$ with $\operatorname{deg} q \leq n$.
- $\int_{-1}^{1}\left|f_{n}(x)\right| \leq C$ for some constant $C$.


## Schöberl's Conjecture

- The Legendre kernel polynomials

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k_{n}(x, y):=\frac{n+1}{2(x-y)}\left(P_{n+1}(x) P_{n}(y)-P_{n+1}(y) P_{n}(x)\right)
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- But not the second.


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- Hence was born the Schöberl conjecture.


## Schöberl's Conjecture

Consider

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S_{n}(x):=\sum_{k=0}^{n}(4 k+1)(2 n-2 k+1) P_{2 k}(0) P_{2 k}(x)
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for $n=0,1, \ldots, 20$.


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- For specific $n \in \mathbb{N}$, it can be shown without thinking.
- It can be also be shown for $x=-1, x=0, x=+1$.
- But a proof for general $x, n$ could not be found for some years.


## Schöberl's Conjecture

## Message: <br> Special function inequalities arise in real world applications.

## Similar Inequalities

- Fejer's inequality:

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- The Askey-Gasper inequality:

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where $P_{k}^{(\alpha, \beta)}(x)$ refers to the Jacobi polynomials.

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As $P_{k}(x)=P_{k}^{(0,0)}(x)$, it includes Fejer's inequality.

## Similar Inequalities

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- It is not clear how the inequalities could be reformulated such as to make the proof go through.
- This is work in progress.
- Now back to Schöberl's conjecture...


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- Finding a decomposition for general $\alpha$ into two parts
- Proving estimates for each part by hand
- Combining the estimates for both components with CAD and induction


## Schöberl's conjecture is not sharp

Consider the graph of

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for $n=15$


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What is the reason for this gap?

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$S_{n}^{\alpha}(x):=\sum_{k=0}^{n}(2 \alpha+4 k+1)(2 n-2 k+1) \frac{\binom{2 k+2 \alpha}{\alpha}}{4^{\alpha}\binom{2 k+\alpha}{\alpha}} P_{2 k}^{(\alpha, \alpha)}(0) P_{2 k}^{(\alpha, \alpha)}(x)$
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Note: $S_{n}(x)=S_{n}^{0}(x)$.

## Situation at the boundary

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S_{n}^{\alpha}(x)=g_{n}^{\alpha}(x)-f_{n}^{\alpha}(x)
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where $f_{n}^{\alpha}(x)$ is a sum expression that vanishes for $\alpha= \pm 1 / 2$, and $g_{n}^{\alpha}(x)$ is a closed form expression.

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This can be done in many ways.

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A good choice turned out to be

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f_{n}^{\alpha}(x)= & \sum_{k=0}^{2 n} \frac{4^{-\alpha}\left(1-4 \alpha^{2}\right)}{(2 \alpha+2 k-1)(2 \alpha+2 k+3)} \frac{\binom{2 \alpha+k}{\alpha}}{\binom{\alpha+k}{\alpha}} P_{k}^{(\alpha, \alpha)}(0) P_{k}^{(\alpha, \alpha)}(x) \\
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Indeed, for this choice we have

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This can be verified (but not discovered!) by symbolic summation.

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Next goal: Find $e_{n}^{\alpha}(x)$ in closed form such that

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f_{n}^{\alpha}(x) \leq e_{n}^{\alpha}(x)
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## Bound the sum

Consider

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f_{n}^{\alpha}(x, y)=\sum_{k=0}^{2 n} \frac{4^{-\alpha}\left(1-4 \alpha^{2}\right)}{(2 \alpha+2 k-1)(2 \alpha+2 k+3)} \frac{\binom{2 \alpha+k}{\alpha}}{\binom{\alpha+k}{\alpha}} P_{k}^{(\alpha, \alpha)}(x) P_{k}^{(\alpha, \alpha)}(y)
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Then $f_{n}^{\alpha}(x)=f_{n}^{\alpha}(x, 0)$.
It can be shown without too much effort that

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f_{n}^{\alpha}(x, y) \leq \frac{1}{2}\left(f_{n}^{\alpha}(x, x)+f_{n}^{\alpha}(y, y)\right) \quad\left(\alpha \in\left[-\frac{1}{2}, \frac{1}{2}\right]\right)
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So we may set

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e_{n}^{\alpha}(x):=\frac{1}{2}\left(f_{n}^{\alpha}(x, x)+f_{n}^{\alpha}(0,0)\right)
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- We want to show $g_{n}^{\alpha}(x) \geq f_{n}^{\alpha}(x)$.


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Looks promising. . .

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\end{aligned}
$$

It remains to show $g_{n}^{\alpha}(x) \geq e_{n}^{\alpha}(x)$.

## Putting things together...

After some simplifications, it remains to show

$$
\begin{aligned}
(\alpha & +2 n+1)^{2}(2 \alpha+4 n+1)\left(P_{2 n}^{(\alpha, \alpha)}(0)^{2}+P_{2 n}^{(\alpha, \alpha)}(x)^{2}\right) \\
& +(2 n+1)(2 \alpha+2 n+1)(2 \alpha+4 n+3) P_{2 n+1}^{(\alpha, \alpha)}(x)^{2} \\
- & (\alpha+2 n+1)(2 \alpha+4 n+1) \\
& \times(2 \alpha+4 n+3) x P_{2 n}^{(\alpha, \alpha)}(x) P_{2 n+1}^{(\alpha, \alpha)}(x) \geq 0
\end{aligned}
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But CAD and induction on $n$ is applicable here.
A Tarski formula for the induction step is. . .

## and leaving the rest to the computer

$\forall n, \alpha, x, y, z, w\left(\left(n \geq 0 \wedge-1 \leq x \leq 1 \wedge-1 \leq 2 \alpha \leq 1 \wedge(2 \alpha+4 n+1)\left(y^{2}+z^{2}\right)(\alpha+2 n+1)^{2}-\right.\right.$ $\left.(2 \alpha+4 n+1)(2 \alpha+4 n+3) w x z(\alpha+2 n+1)+(2 n+1)(2 \alpha+2 n+1)(2 \alpha+4 n+3) w^{2} \geq 0\right) \Rightarrow$ $(2 n+3)(\alpha+2 n+1)^{2}(\alpha+2 n+3)^{2}(2 \alpha+2 n+3)(2 \alpha+4 n+5) y^{2}(\alpha+2 n+2)^{2}+(\alpha+2 n+$ $1)^{2}\left(64 n^{5}-256 x^{2} n^{4}+160 \alpha n^{4}+464 n^{4}+144 \alpha^{2} n^{3}-512 \alpha x^{2} n^{3}-1184 x^{2} n^{3}+928 \alpha n^{3}+1344 n^{3}+\right.$ $56 \alpha^{3} n^{2}+628 \alpha^{2} n^{2}-384 \alpha^{2} x^{2} n^{2}-1776 \alpha x^{2} n^{2}-1984 x^{2} n^{2}+2016 \alpha n^{2}+1944 n^{2}+8 \alpha^{4} n+$ $164 \alpha^{3} n+912 \alpha^{2} n-128 \alpha^{3} x^{2} n-888 \alpha^{2} x^{2} n-1984 \alpha x^{2} n-1434 x^{2} n+1944 \alpha n+1404 n+12 \alpha^{4}+$ $\left.120 \alpha^{3}+441 \alpha^{2}-16 \alpha^{4} x^{2}-148 \alpha^{3} x^{2}-496 \alpha^{2} x^{2}-717 \alpha x^{2}-378 x^{2}+702 \alpha+405\right) z^{2}(\alpha+2 n+2)^{2}-$ $w^{2}\left(-256 n^{7}+4096 x^{4} n^{6}-3072 x^{2} n^{6}-896 \alpha n^{6}-1728 n^{6}+12288 \alpha x^{4} n^{5}+25088 x^{4} n^{5}-1216 \alpha^{2} n^{5}-\right.$ $9216 \alpha x^{2} n^{5}-19968 x^{2} n^{5}-5184 \alpha n^{5}-4864 n^{5}+15360 \alpha^{2} x^{4} n^{4}+62720 \alpha x^{4} n^{4}+62464 x^{4} n^{4}-$ $800 \alpha^{3} n^{4}-5872 \alpha^{2} n^{4}-11008 \alpha^{2} x^{2} n^{4}-49920 \alpha x^{2} n^{4}-53120 x^{2} n^{4}-12160 \alpha n^{4}-7408 n^{4}-$ $256 \alpha^{4} n^{3}+10240 \alpha^{3} x^{4} n^{3}+62720 \alpha^{2} x^{4} n^{3}+124928 \alpha x^{4} n^{3}+81216 x^{4} n^{3}-3104 \alpha^{3} n^{3}-11072 \alpha^{2} n^{3}-$ $6656 \alpha^{3} x^{2} n^{3}-47744 \alpha^{2} x^{2} n^{3}-106240 \alpha x^{2} n^{3}-74176 x^{2} n^{3}-14816 \alpha n^{3}-6592 n^{3}-32 \alpha^{5} n^{2}-$ $752 \alpha^{4} n^{2}+3840 \alpha^{4} x^{4} n^{2}+31360 \alpha^{3} x^{4} n^{2}+93696 \alpha^{2} x^{4} n^{2}+121824 \alpha x^{4} n^{2}+58320 x^{4} n^{2}-4448 \alpha^{3} n^{2}-$
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This completes the proof of Schöberl's conjecture.

## Pillwein's Proof

## Message:

A special function inequality may require some very non-obvious manipulation before an induction proof via CAD succeeds.

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- Some inequalities require human preprocessing.
- The preprocessing may be hard (if at all possible).


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- Modern inequality proofs proceed by reducing the claim to something that can be done with the computer.
- Stronger computer algebra methods for proving special function inequalities would be highly appreciated. . .
- ... because these inequalities are soo difficult.

