

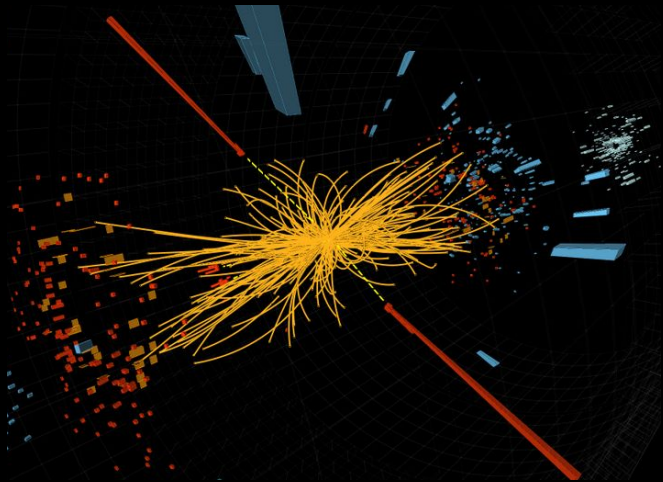
Restricted Lattice walks in Three Dimensions

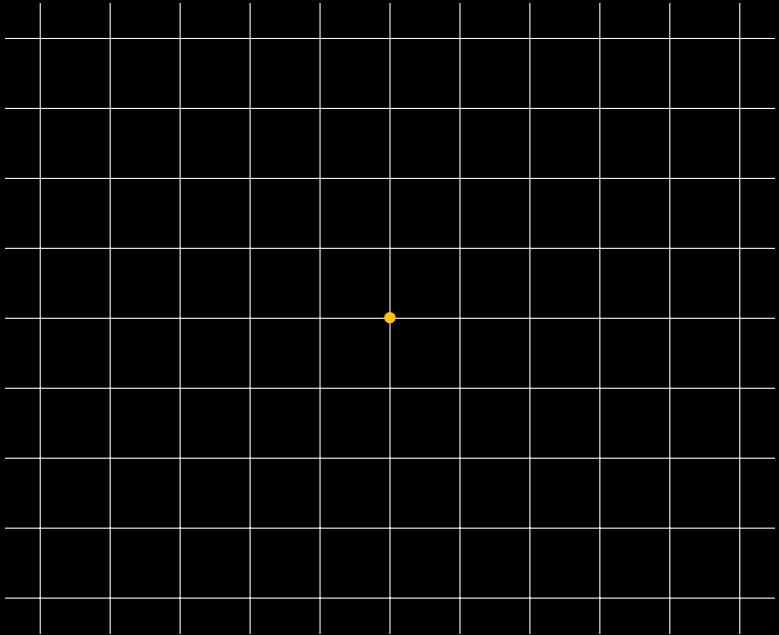
Manuel Kauers

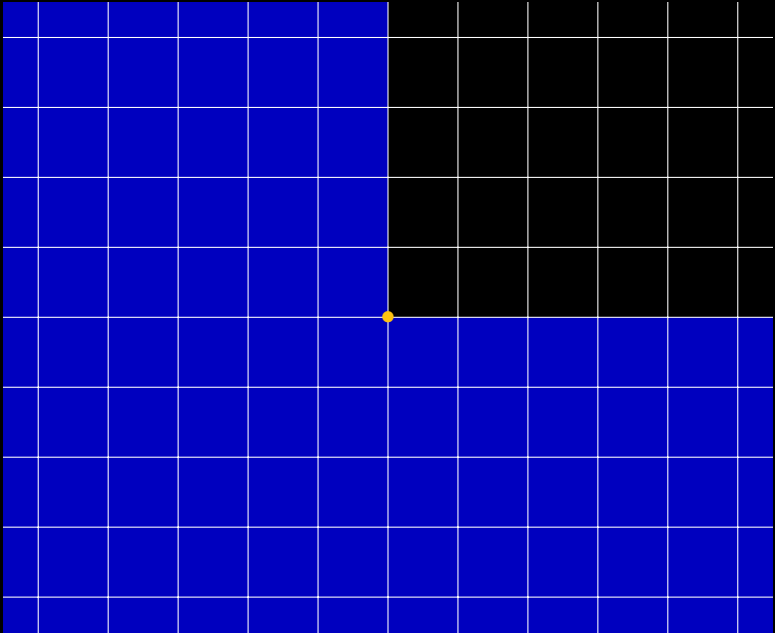
Research Institute for Symbolic Computation (RISC)
Johannes Kepler University, Linz, Austria

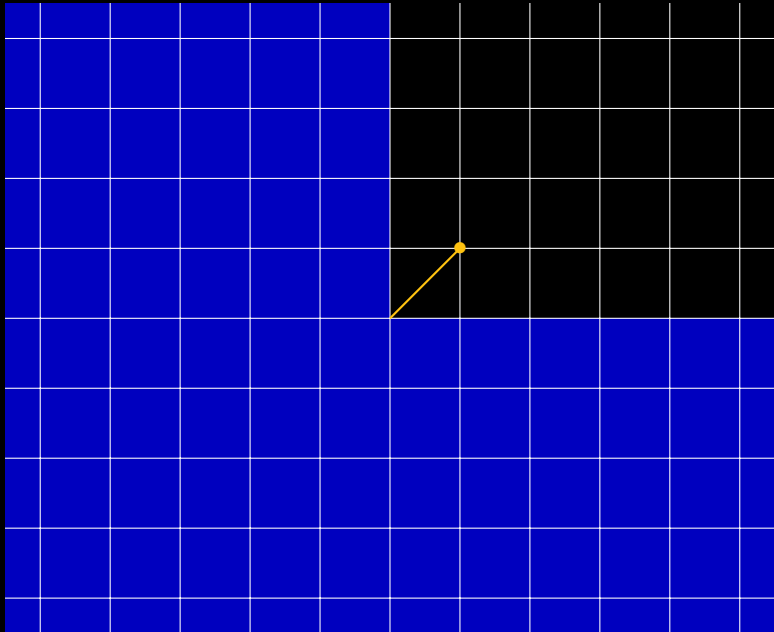
joint work with

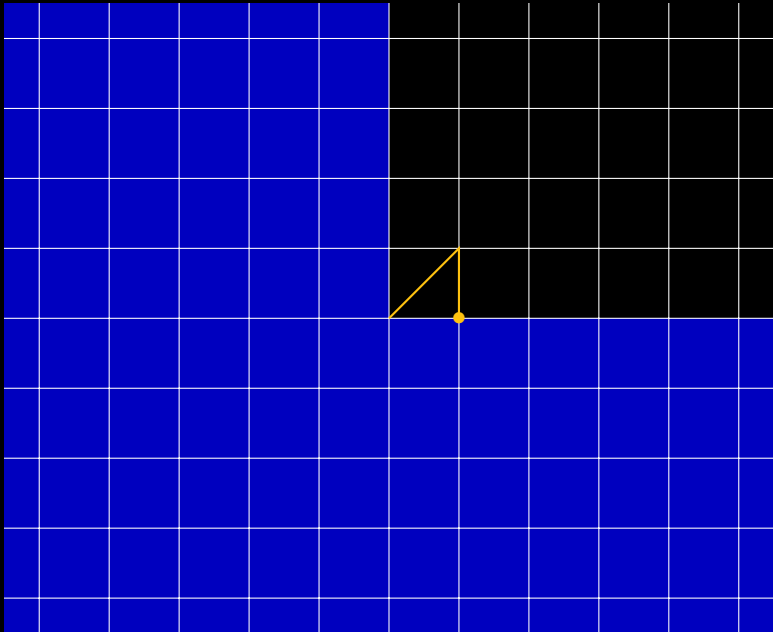
Alin Bostan, Mireille Bousquet-Mélou, and Stephen Melczer

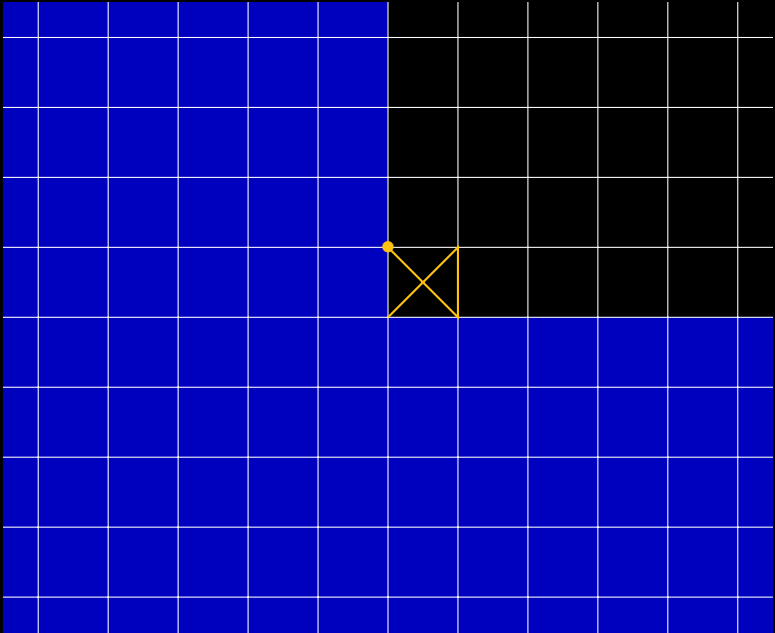


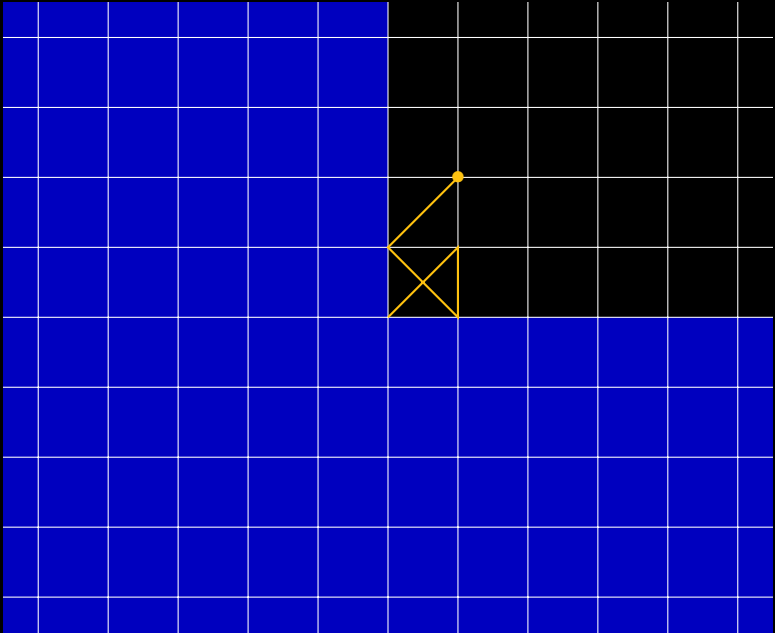


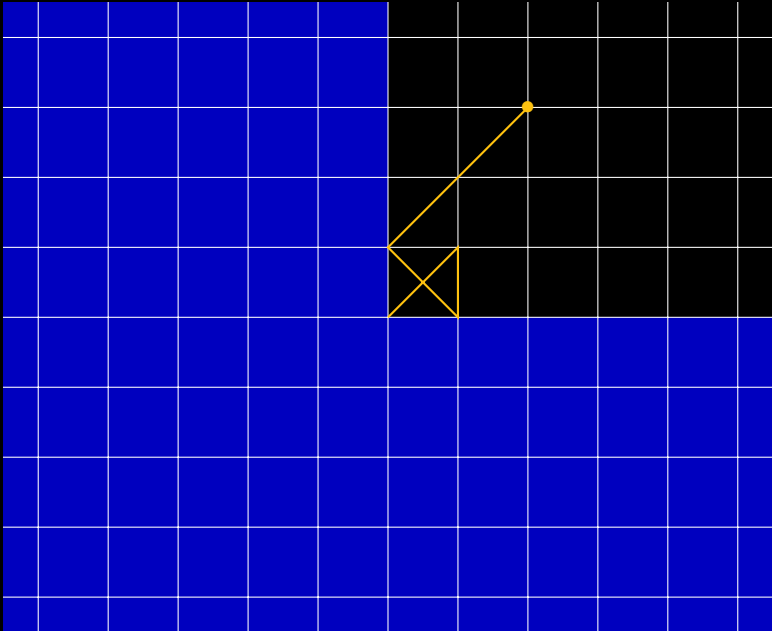


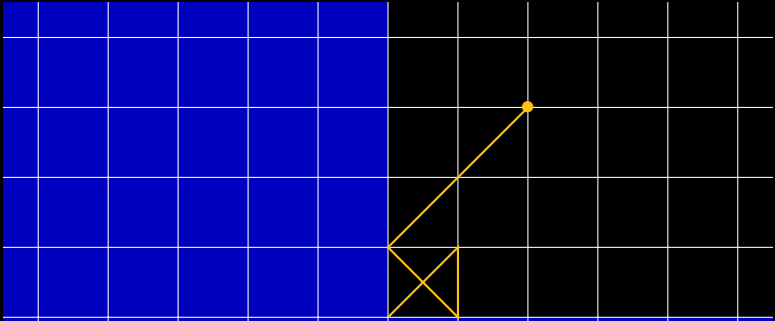


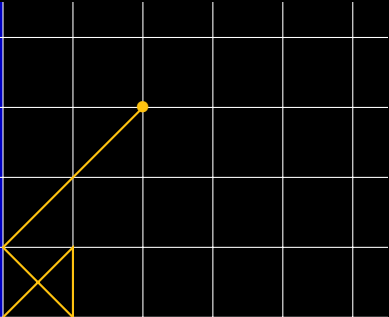


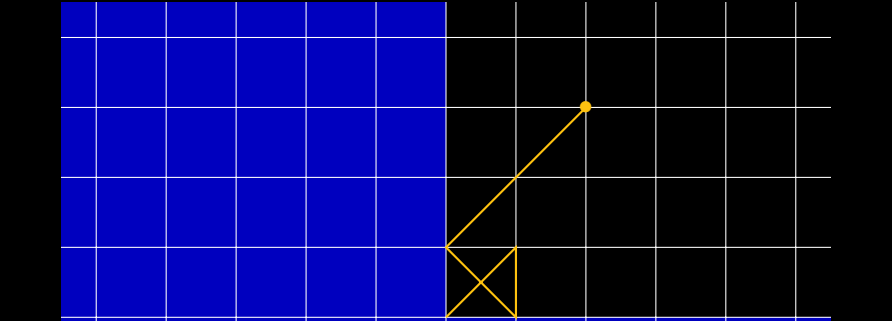


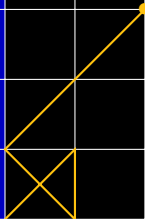




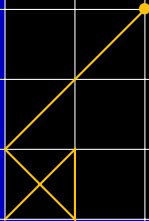
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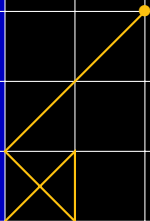
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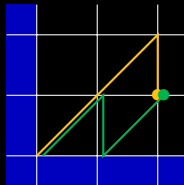
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
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Bernadi, Bostan, Bousquet-Mélou, Cori, Denisov, Dulucq, Fayolle, Gessel, Gouyou-Beauchamps, Guy, Janse van Rensburg, Johnson, Kauers, Koutschan, Krattenthaler, Kurkova, Kreweras, Melczer, Mishna, Niederhausen, Petkovšek, Prellberg, Raschel, Rechnitzer, Sagan, Salvy, Viennot, Wachtel, Wilf, Yeats, Zeilberger

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Question:

How does the nature of $a(x, y, t)$ depend on the step set?

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Recall: a is **D-finite** : \iff

$$p_0 a + p_1 \frac{d}{dt} a + \cdots + p_r \frac{d^r}{dt^r} a = 0$$

for some polynomials p_0, \dots, p_r in x, y, t , not all zero.

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What does it depend on?


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
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
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
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
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
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
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Example: For the step set above we obtain

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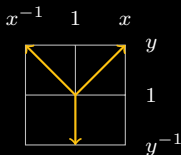
This functional equation uniquely describes $a(x, y, t)$.

All properties of $a(x, y, t)$ must somehow follow from it.

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

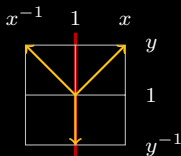
$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:



$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

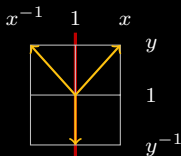
The step set (and hence, the step set polynomial) is **invariant** under the following two maps:



$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

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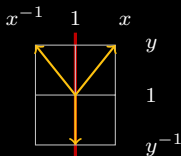
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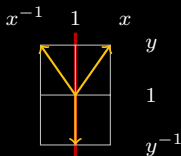
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$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

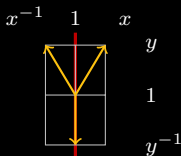
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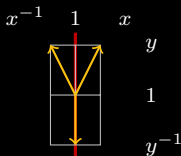
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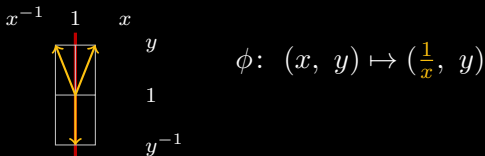
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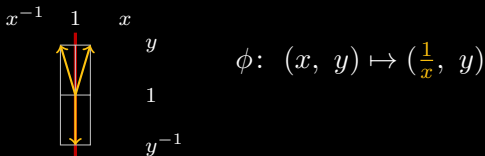
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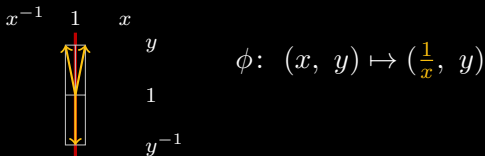
$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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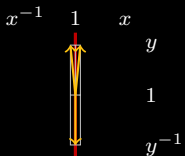
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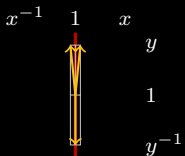
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The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

$$\begin{array}{ccc}
 x^{-1} & 1 & x \\
 & \updownarrow & y \\
 & & 1 \\
 & & y^{-1}
 \end{array}
 \quad \phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

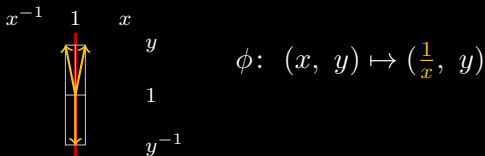
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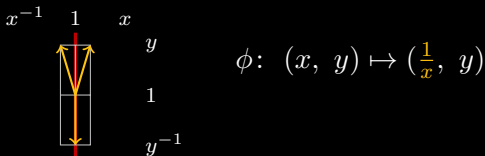
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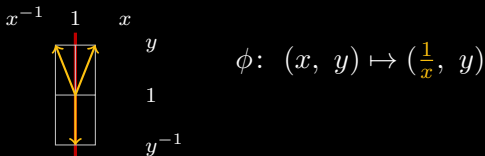
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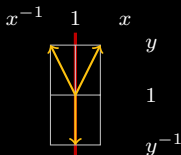
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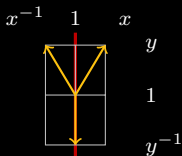
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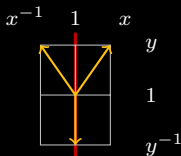
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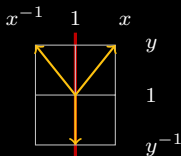
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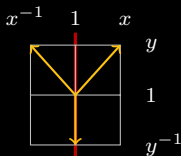
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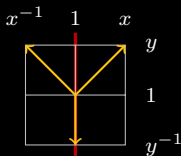
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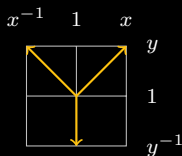
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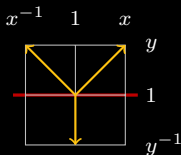


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

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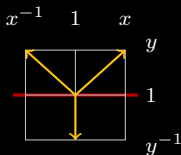


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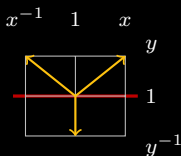


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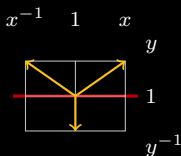


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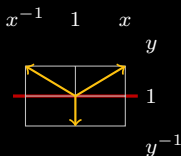


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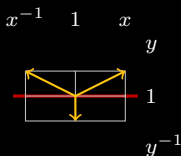


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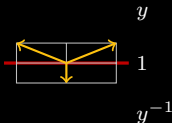
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The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

$$x^{-1} \quad 1 \quad x$$

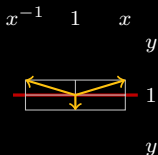


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

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The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

$$x^{-1} \quad 1 \quad x$$


 y
 1
 y^{-1}

$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

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$$x^{-1} \quad 1 \quad x$$



$$y$$

$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$1$$

$$y^{-1}$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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$$x^{-1} \quad 1 \quad x$$

 y

$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

 1

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

 y^{-1}

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

$$x^{-1} \quad 1 \quad x$$



$$y$$

$$1$$

$$y^{-1}$$

$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x} y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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$$x^{-1} \quad 1 \quad x$$


 y

$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

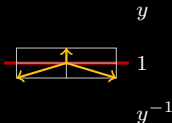
 1
 y^{-1}

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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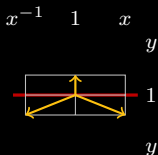


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

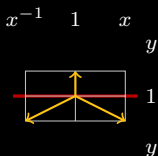


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:



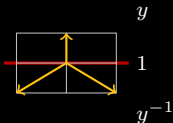
$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

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$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

x^{-1} 1 x

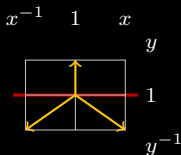


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

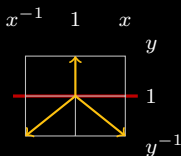


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

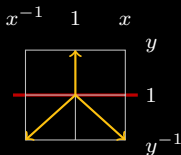


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

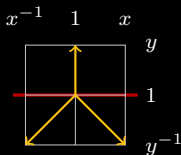


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

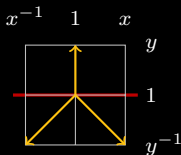


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:



$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

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The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

x^{-1} 1 x



$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

x^{-1} 1 x



y

1

y^{-1}

$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

x^{-1} 1 x



y

1

y^{-1}

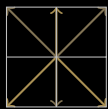
$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

x^{-1} 1 x



y

1

y^{-1}

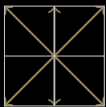
$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

x^{-1} 1 x



y

1

y^{-1}

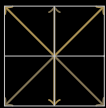
$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

x^{-1} 1 x



y

1

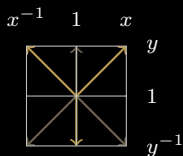
y^{-1}

$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{x}} \frac{1}{y}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:



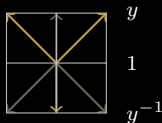
$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

x^{-1} 1 x



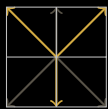
$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

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x^{-1} 1 x



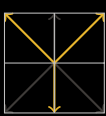
$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

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x^{-1} 1 x

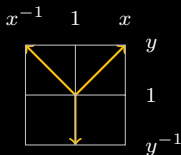


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

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The step set (and hence, the step set polynomial) is **invariant** under the following two maps:

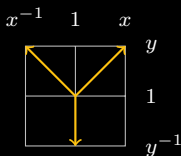


$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

$$\psi: (x, y) \mapsto \left(x, \frac{1}{x + \frac{1}{y}}\right)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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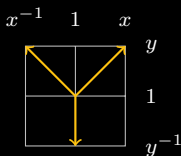
$$\phi: (x, y) \mapsto \left(\frac{1}{x}, y\right)$$

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These two maps together with composition generate a group, the so-called **group of the model**.

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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These two maps together with composition generate a group, the so-called **group of the model**.

For some step sets this group is **finite**, for others it is **infinite**.

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

Here, $G = \{1, \phi, \psi, \phi\psi\}$ is **finite**.

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

Here, $G = \{1, \phi, \psi, \phi\psi\}$ is **finite**. Therefore we can do the following (writing $K = 1 - (\frac{y}{x} + \frac{1}{y} + xy)t$):

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

Here, $G = \{1, \phi, \psi, \phi\psi\}$ is **finite**. Therefore we can do the following (writing $K = 1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t$):

$$K xy a(x, y, t) = xy - xt a(x, 0, t) - y^2 t a(0, y, t)$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

Here, $G = \{1, \phi, \psi, \phi\psi\}$ is **finite**. Therefore we can do the following (writing $K = 1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t$):

$$\begin{aligned} K xy a(x, y, t) &= xy - xt a(x, 0, t) - y^2 t a(0, y, t) \\ - \phi(K xy a(x, y, t) &= xy - xt a(x, 0, t) - y^2 t a(0, y, t)) \end{aligned}$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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$$\begin{aligned} K xy a(x, y, t) &= xy - xt a(x, 0, t) - y^2 t a(0, y, t) \\ - \phi(K xy a(x, y, t) &= xy - xt a(x, 0, t) - y^2 t a(0, y, t)) \\ - \psi(K xy a(x, y, t) &= xy - xt a(x, 0, t) - y^2 t a(0, y, t)) \end{aligned}$$

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$$\begin{aligned} & K xy a(x, y, t) = xy - xt a(x, 0, t) - y^2 t a(0, y, t) \\ & - \phi \left(K xy a(x, y, t) = xy - xt a(x, 0, t) - y^2 t a(0, y, t) \right) \\ & - \psi \left(K xy a(x, y, t) = xy - xt a(x, 0, t) - y^2 t a(0, y, t) \right) \\ & + \phi\psi \left(K xy a(x, y, t) = xy - xt a(x, 0, t) - y^2 t a(0, y, t) \right) \end{aligned}$$

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$$\begin{aligned} & K xy a(x, y, t) = xy - xt a(x, 0, t) - \cancel{y^2 t a(0, y, t)} \\ & - \phi \left(K xy a(x, y, t) = xy - xt a(x, 0, t) - \cancel{y^2 t a(0, y, t)} \right) \\ & - \psi \left(K xy a(x, y, t) = xy - xt a(x, 0, t) - y^2 t a(0, y, t) \right) \\ & + \phi\psi \left(K xy a(x, y, t) = xy - xt a(x, 0, t) - y^2 t a(0, y, t) \right) \end{aligned}$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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$$\begin{aligned} & K xy a(x, y, t) = xy - xt a(x, 0, t) - \cancel{y^2 t a(0, y, t)} \\ & - \phi(K xy a(x, y, t) = xy - xt a(x, 0, t) - \cancel{y^2 t a(0, y, t)}) \\ & - \psi(K xy a(x, y, t) = xy - xt a(x, 0, t) - \cancel{y^2 t a(0, y, t)}) \\ & + \phi\psi(K xy a(x, y, t) = xy - xt a(x, 0, t) - \cancel{y^2 t a(0, y, t)}) \end{aligned}$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

Here, $G = \{1, \phi, \psi, \phi\psi\}$ is **finite**. Therefore we can do the following (writing $K = 1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t$):

$$\begin{aligned} & K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)} \\ & - \phi(K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)}) \\ & - \psi(K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)}) \\ & + \phi\psi(K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)}) \end{aligned}$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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$$\begin{aligned} & K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)} \\ & - \phi(K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)}) \\ & - \psi(K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)}) \\ & + \phi\psi(K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)}) \end{aligned}$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

Here, $G = \{1, \phi, \psi, \phi\psi\}$ is **finite**. Therefore we can do the following (writing $K = 1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t$):

only monomials $x^i y^j$ with $i < 0$ or $j < 0$

$$\begin{aligned}
 & K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)} \\
 - \phi(& K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)}) \\
 - \psi(& K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)}) \\
 + \phi\psi(& K xy a(x, y, t) = xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)})
 \end{aligned}$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

Here, $G = \{1, \phi, \psi, \phi\psi\}$ is **finite**. Therefore we can do the following (writing $K = 1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t$):

only monomials $x^i y^j$ with $i < 0$ or $j < 0$

$$\begin{aligned} K xy a(x, y, t) &= xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)} \\ - \phi(K xy a(x, y, t)) &= xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)} \\ - \psi(K xy a(x, y, t)) &= xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)} \\ + \phi\psi(K xy a(x, y, t)) &= xy - \cancel{xt a(x, 0, t)} - \cancel{y^2 t a(0, y, t)} \end{aligned}$$

$$\Rightarrow xy a(x, y, t) = [x^>][y^>] \frac{xy - \frac{1}{x}y - x \frac{1}{1+\frac{1}{x}} \frac{1}{y} + \frac{1}{x} \frac{1}{1+\frac{1}{x}} \frac{1}{y}}{1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t}$$

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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What to do then?

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- if the group is infinite
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- if several terms on the left contain monomials with positive exponents

What to do then? Try using computer algebra, as follows.

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

$$\underbrace{\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right)}_{=0 \text{ for } y=Y(x,t)} a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

$$=0 \text{ for } y=Y(x,t) := \frac{x - \sqrt{x(x - 4t^2(1+x^2))}}{2t(1+x^2)} = t + \left(x + \frac{1}{x}\right)t^3 + \dots$$

$$\underbrace{\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right)}_{=0 \text{ for } y=Y(x,t)} a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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For this choice of $Y(x, t)$ we find

$$0 = 1 - \frac{t}{Y(x,t)} a(x, 0, t) - \frac{Y(x,t)t}{x} a(0, Y(x, t), t)$$

$$\underbrace{\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right)}_{=0 \text{ for } y=Y(x,t)} a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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For this choice of $Y(x, t)$ we find

$$a(x, 0, t) = \frac{Y(x,t)}{t} - x Y(x, t)^2 a(0, Y(x, t), t)$$

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For this choice of $Y(x, t)$ we find

$$a(x, 0, t) = \frac{Y(x, t)}{t} - x Y(x, t)^2 a(0, Y(x, t), t)$$

Setting $x \rightsquigarrow Y^{-1}(x, t)$ in this equation and rearranging terms gives

$$a(0, x, t) = \frac{1}{txY^{-1}(x, t)} - \frac{1}{Y^{-1}(x, t)x^2} a(Y^{-1}(x, t), 0, t)$$

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Now consider the following system of functional equations for two unknown power series $U(x, t), V(x, t)$:

$$U(x, t) = \frac{Y(x, t)}{t} - x Y(x, t)^2 V(Y(x, t), t)$$

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Observe:

- This system has a **unique** solution.

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Observe:

- This system has a **unique** solution.
- By construction, the solution must be

$$U = a(x, 0, t) \text{ and } V = a(0, x, t).$$

Now consider the following system of functional equations for two unknown power series $U(x, t), V(x, t)$:

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Now turn on the computer. . .

- **generate** lots of coefficients of $a(x, 0, t)$, and $a(0, x, t)$.

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- **guess** a system of D-finite differential equations possibly satisfied by these series.
- **prove** that the series solutions of the guessed D-finite system solve the functional equations.

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Conclude:

- $a(x, 0, t)$ and $a(0, x, t)$ are **D-finite**.

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- $a(x, 0, t)$ and $a(0, x, t)$ are **D-finite**.
- Because of

$$\left(1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t\right) a(x, y, t) = 1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)$$

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$$a(x, y, t) = \frac{1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)}{1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t}$$

Now consider the following system of functional equations for two unknown power series $U(x, t), V(x, t)$:

$$U(x, t) = \frac{Y(x, t)}{t} - x Y(x, t)^2 V(Y(x, t), t)$$

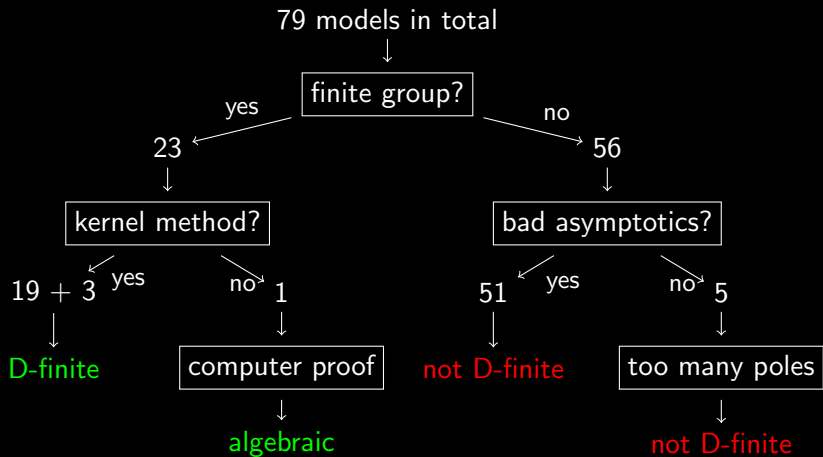
$$V(x, t) = \frac{1}{txY^{-1}(x, t)} - \frac{1}{Y^{-1}(x, t)x^2} U(Y^{-1}(x, t), t)$$

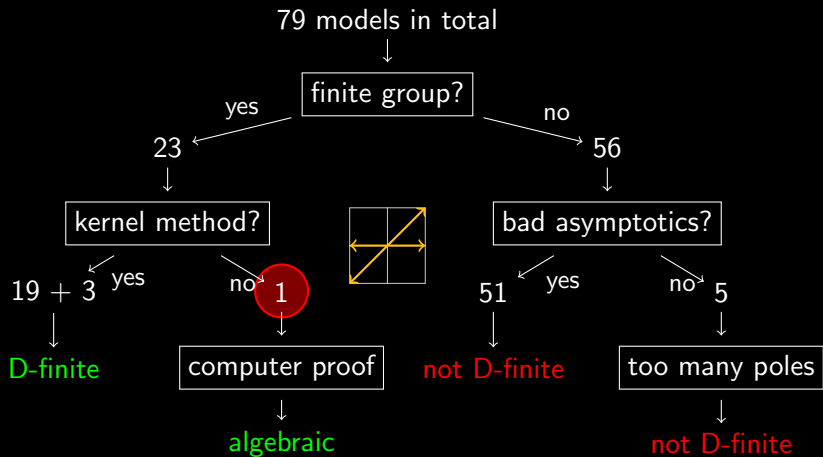
Conclude:

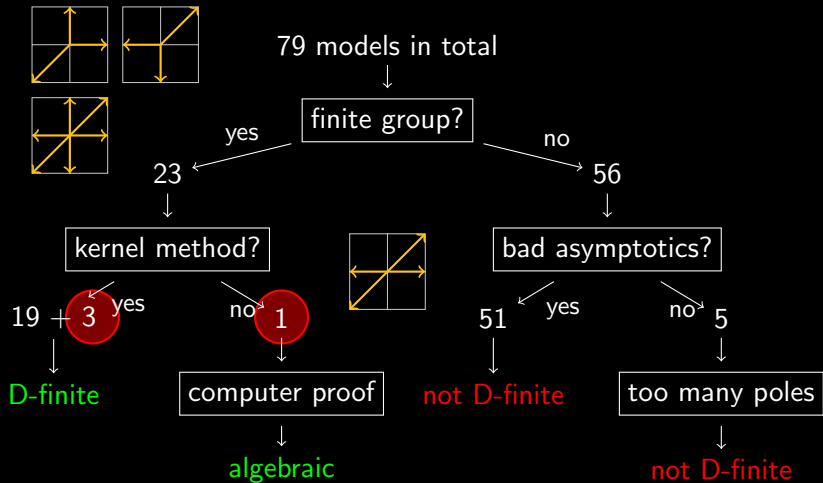
- $a(x, 0, t)$ and $a(0, x, t)$ are **D-finite**.
- Because of

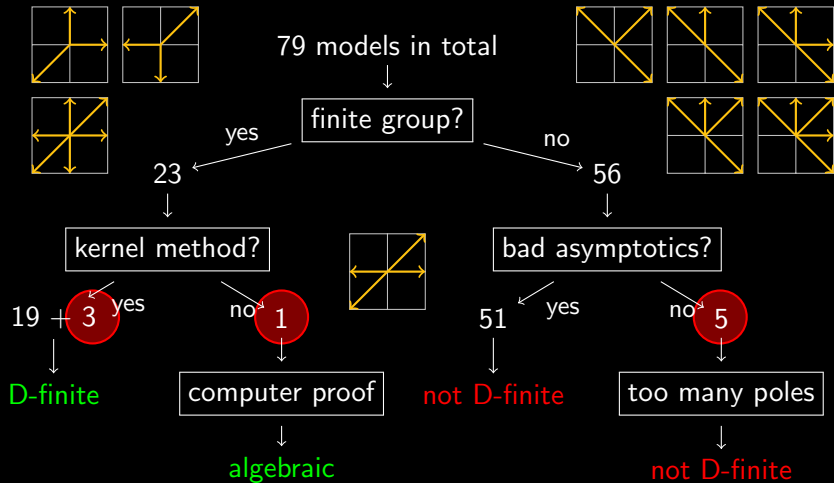
$$a(x, y, t) = \frac{1 - \frac{t}{y} a(x, 0, t) - \frac{yt}{x} a(0, y, t)}{1 - \left(\frac{y}{x} + \frac{1}{y} + xy\right)t}$$

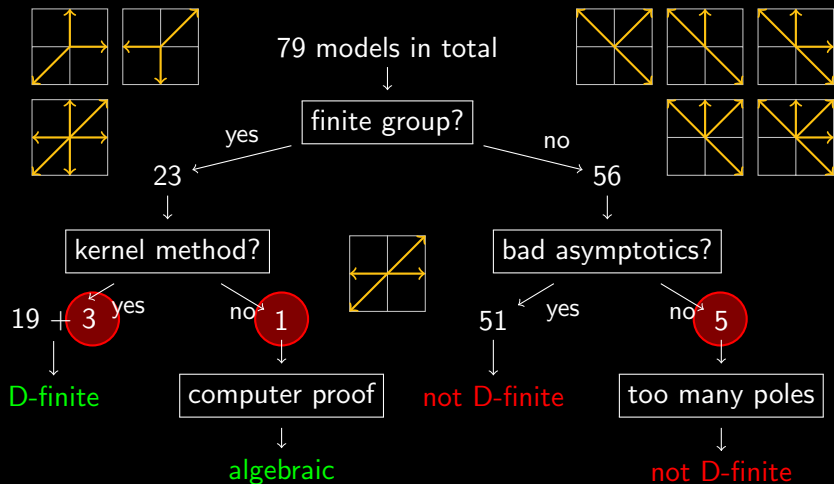
it follows that also $a(x, y, t)$ is **D-finite**.































A posteriori observation:






D-finite generating function \iff finite group.






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	D-finite	D-finite	D-finite
	algebraic	algebraic	algebraic
	algebraic	algebraic	algebraic
	D-finite	algebraic	D-finite
	D-finite	D-finite	D-finite






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	algebraic	not D-finite	not D-finite
	algebraic	not D-finite	not D-finite
	algebraic	algebraic	algebraic
	not D-finite	not D-finite?	not D-finite
	D-finite	D-finite	D-finite






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	D-finite	D-finite	D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
	D-finite	D-finite	D-finite
	not D-finite	not D-finite?	not D-finite
	D-finite	D-finite	D-finite
	not D-finite	not D-finite?	not D-finite






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	D-finite	D-finite	D-finite
	algebraic	not D-finite	not D-finite
	algebraic	not D-finite	not D-finite






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	D-finite	D-finite	D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	D-finite	D-finite	D-finite






step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
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	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite





step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	D-finite	D-finite	D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite

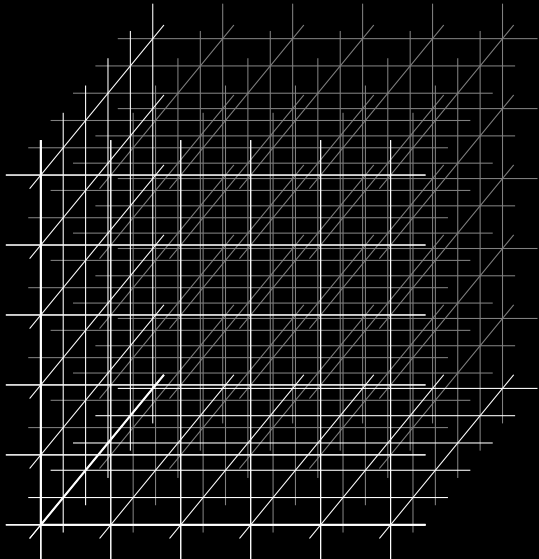
step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
	algebraic	not D-finite	not D-finite
	not D-finite	not D-finite?	not D-finite
	D-finite	D-finite	D-finite
	not D-finite	not D-finite?	not D-finite

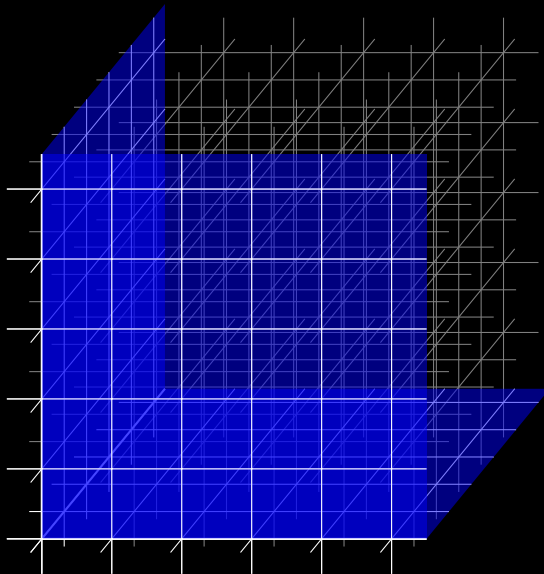
step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite

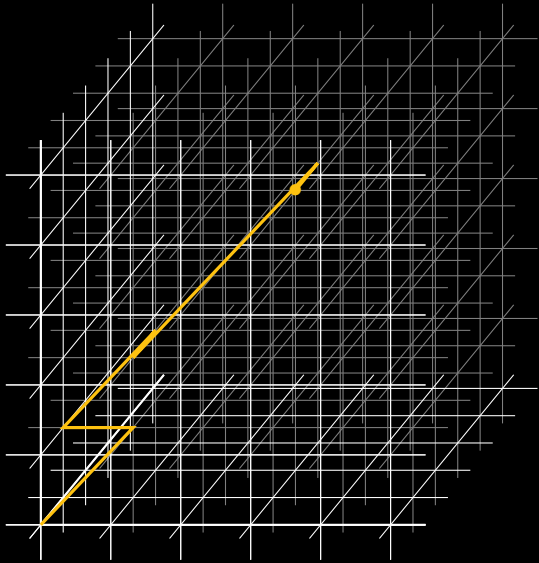
step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	D-finite	D-finite	D-finite
	algebraic	algebraic	algebraic
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	D-finite	algebraic	D-finite

step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
	D-finite	D-finite	D-finite
	not D-finite	not D-finite?	not D-finite
	not D-finite	not D-finite?	not D-finite
	D-finite	D-finite	D-finite

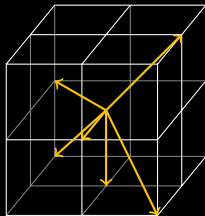
step set	$a(0, 0, t)$	$a(1, 1, t)$	$a(x, y, t)$
	not D-finite	not D-finite?	not D-finite
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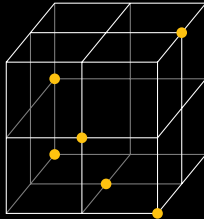




- start at $(0, 0, 0)$
- make n steps (e.g., $n = 7$)
- end at (i, j, k) (e.g., $(i, j, k) = (3, 4, 2)$)
- never step out of the first octant
- use only steps taken from a prescribed step set, e.g.,



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For a fixed step set, define the generating function $a(x, y, z, t)$ in the obvious way.

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$$\begin{array}{c} \{ -1, 0, 1 \} \\ \downarrow \quad \text{dim} = 3 \\ 2^3 - 1 = 67108864 \\ \uparrow \quad \text{except } (0, 0, 0) \\ \in \text{ or } \notin \end{array}$$

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$\dim = 3$

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\in or \notin \uparrow \uparrow \uparrow

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— 56034639 models in bijection to others

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 \begin{array}{c}
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 2^3 - 1 = 67108864 \\
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 \uparrow \\
 \in \text{ or } \notin
 \end{array} \\
 \hline
 \begin{array}{r}
 - 56034639 \text{ models in bijection to others} \\
 - 11038677 \text{ models with } |\cdot| > 6 \\
 \hline
 \underline{\underline{35548}} \text{ models to be investigated}
 \end{array}
 \end{array}$$

Consider the following three properties that a step set may have.

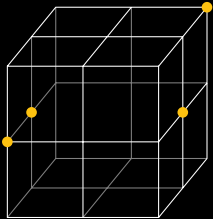
- The model has a **finite group** (defined like for 2D models).
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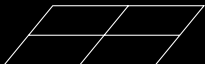
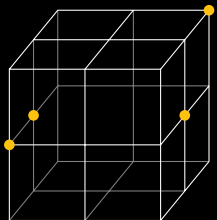
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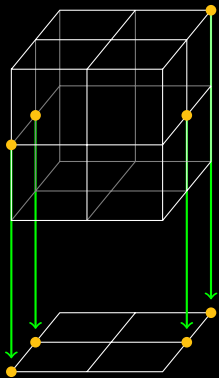
- The model has a **finite group** (defined like for 2D models).
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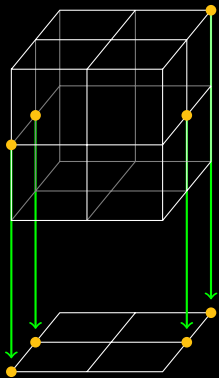
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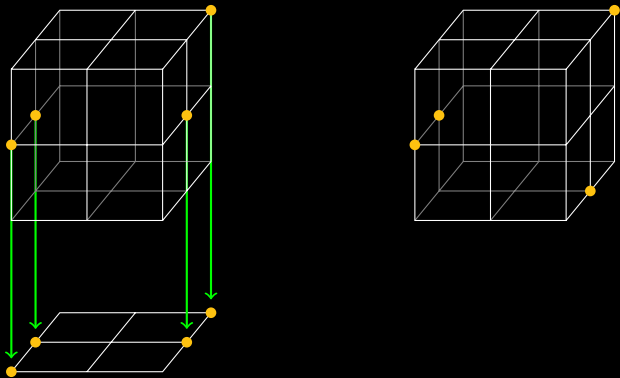




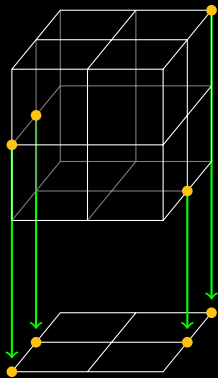
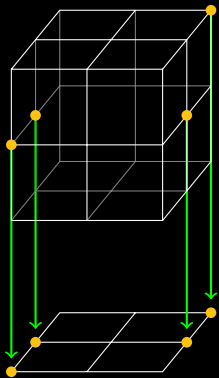




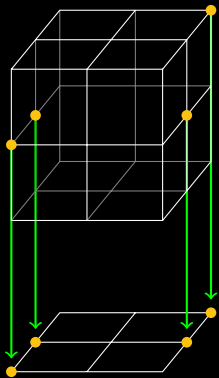
Models are in bijection!



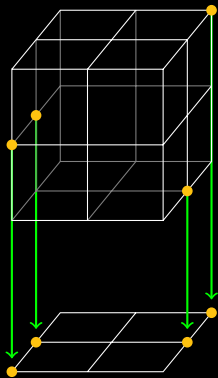
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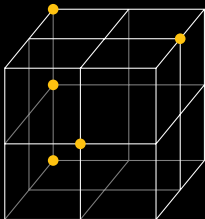
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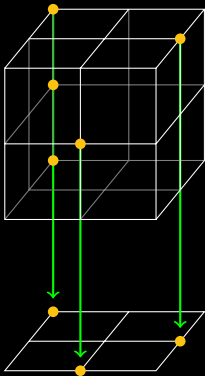


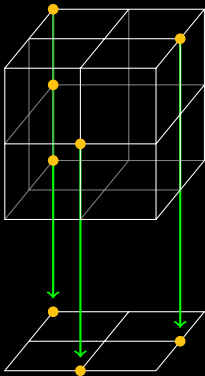
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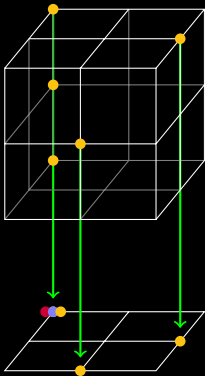
Not a valid bijection!



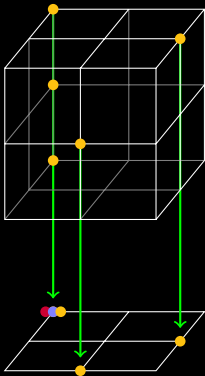




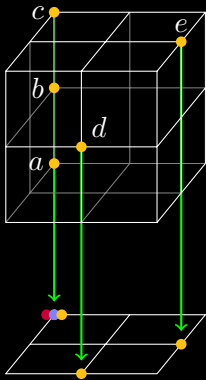
Bijection?



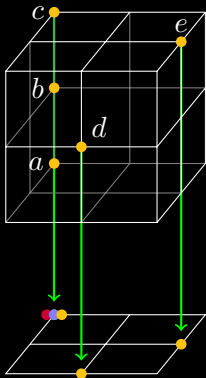
Bijection?



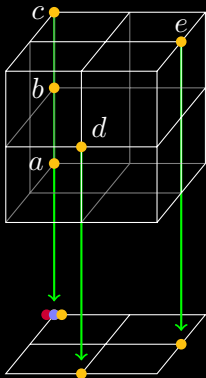
Bijection? YES!



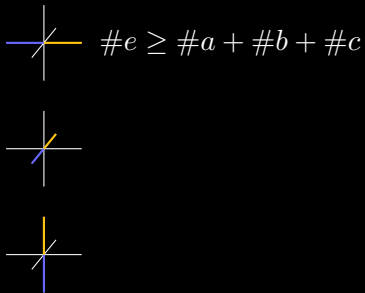
Bijection? YES!

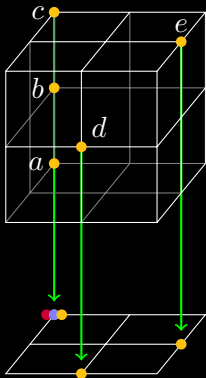


Bijection? YES!



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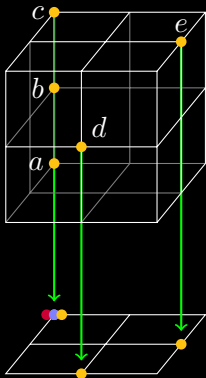


Bijection? YES!

$$\#e \geq \#a + \#b + \#c$$

$$\#a + \#b + \#c \geq \#d$$



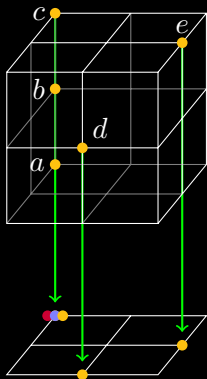


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$$\begin{array}{c} \text{---} \text{---} \\ \diagup \text{---} \\ \text{---} \end{array} \quad \#e \geq \#a + \#b + \#c$$

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$$\begin{array}{c} \text{---} \text{---} \\ \diagup \text{---} \\ \text{---} \end{array} \quad \#c + \#e \geq \#a$$

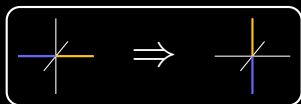


Bijection? YES!

$$\begin{array}{c} \text{---} \diagup \text{---} \\ | \\ \text{---} \end{array} \quad \#e \geq \#a + \#b + \#c$$

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$$\begin{array}{c} \text{---} \diagup \text{---} \\ | \\ \text{---} \end{array} \quad \#c + \#e \geq \#a$$

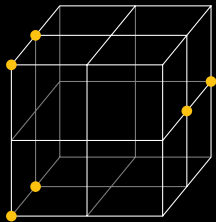


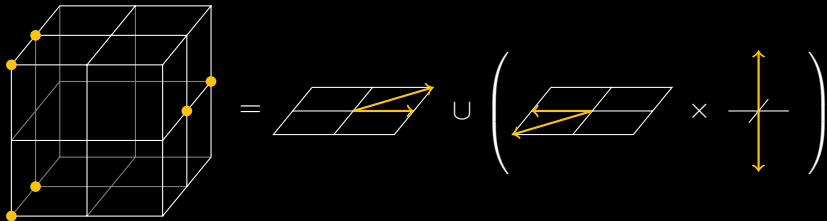
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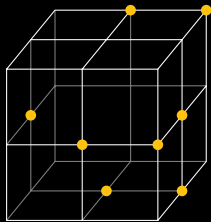
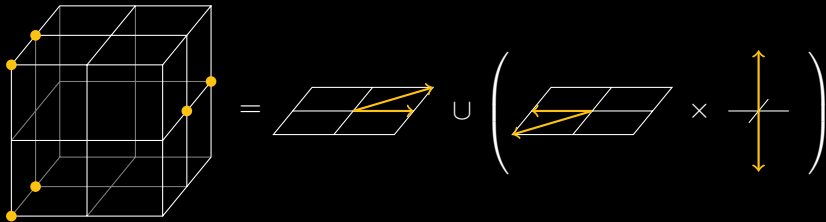
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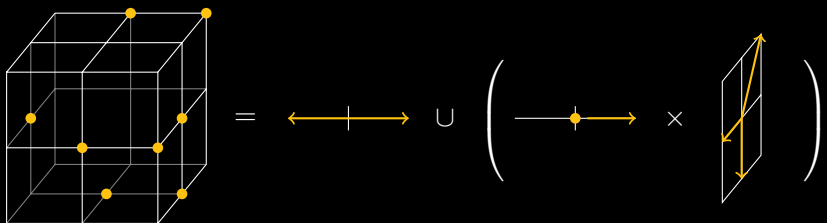
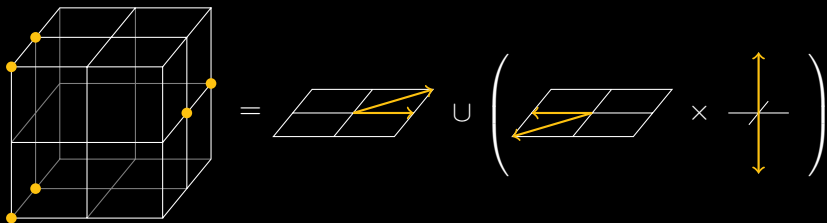
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reducible to 2D

decomposable

finite group

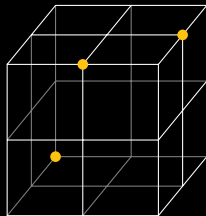
			3	4	5	6	Σ
T	T	T					
T	T	F					
T	F	T					
T	F	F					
F	T	T					
F	T	F					
F	F	T					
F	F	F					
	Σ						

reducible to 2D
decomposable
finite group

			3	4	5	6	Σ
T	T	T	8	47	110	175	340
T	T	F	46	437	1864	4821	7168
T	F	T	0	0	0	0	0
T	F	F	18	275	1599	5344	7236
F	T	T	0	18	47	82	147
F	T	F	0	9	125	411	545
F	F	T	0	8	0	15	23
F	F	F	1	185	2680	17223	20089
	Σ		73	979	6425	28071	35548

reducible to 2D
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not D-finite?

not D-finite?

reducible to 2D
decomposable
finite group

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T	F	T	0	0	0	0	0
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D-finite!

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not D-finite?

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F	F	T	0	8	0	15	23
F	F	F	1	185	2680	17223	20089
	Σ		73	979	6425	28071	35548

} look at the
527 resulting
2D models...

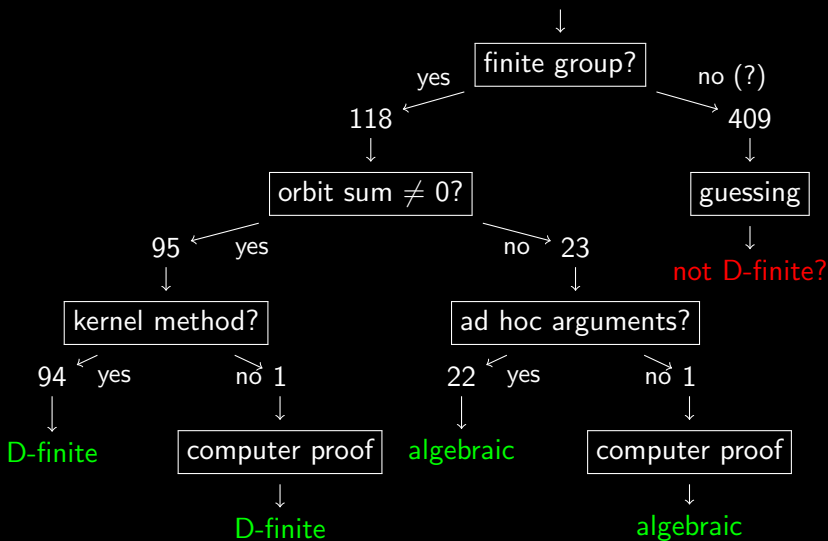
D-finite!

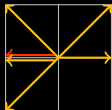
not D-finite?

not so clear...

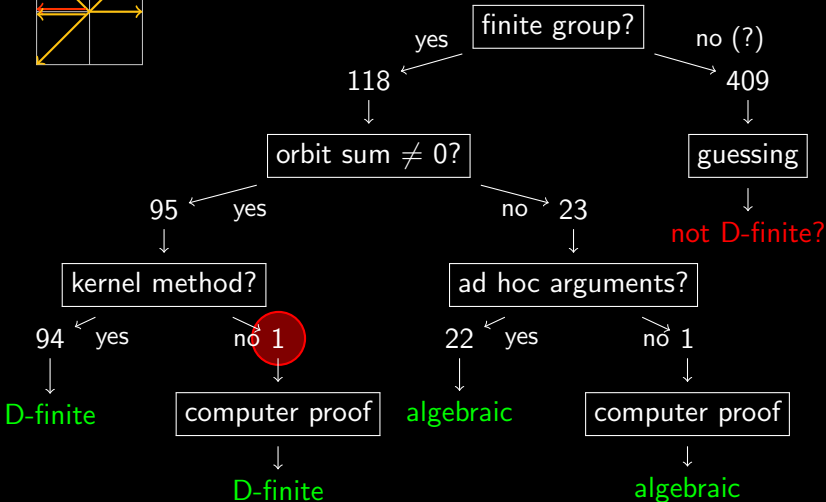
not D-finite?

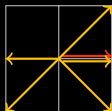
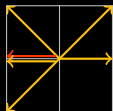
527 models in total



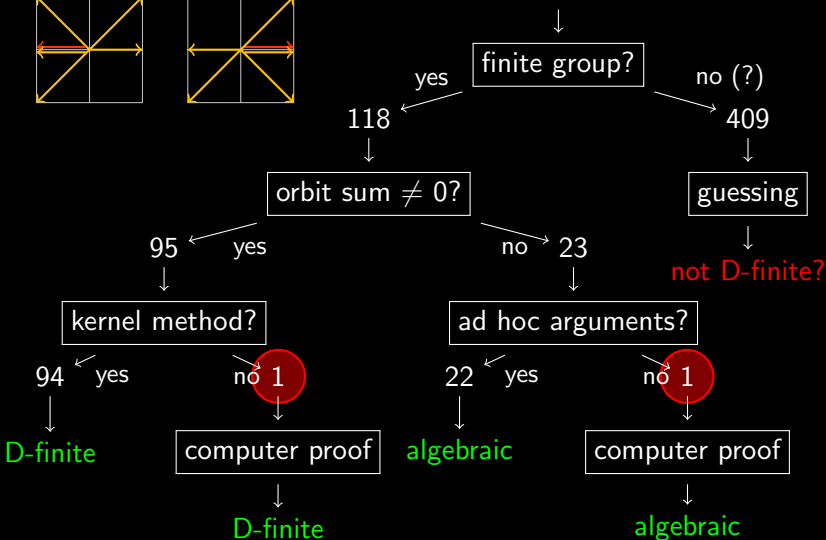


527 models in total





527 models in total



reducible to 2D
decomposable
finite group

			3	4	5	6	Σ
T	T	T	8	47	110	175	340
T	T	F	46	437	1864	4821	7168
T	F	T	0	0	0	0	0
T	F	F	18	275	1599	5344	7236
F	T	T	0	18	47	82	147
F	T	F	0	9	125	411	545
F	F	T	0	8	0	15	23
F	F	F	1	185	2680	17223	20089
	Σ		73	979	6425	28071	35548

} look at the
527 resulting
2D models...

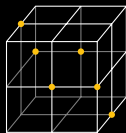
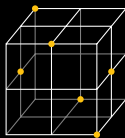
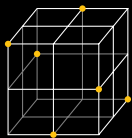
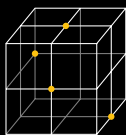
D-finite!

not D-finite?

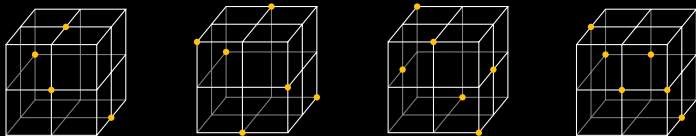
not so clear...

not D-finite?

There are 23 models in 3D which are **not reducible** to 2D, which are **not decomposable**, and which have a **finite group**. For 4 of them, the orbit sum is nonzero and the kernel method implies that they are **D-Finite**.

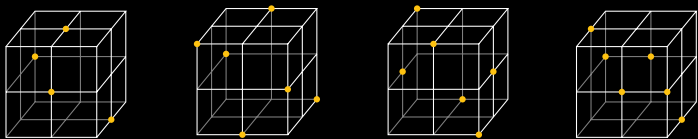


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The remaining 19 models are mysterious. Even on a super-computer we were not able to find any evidence for possible differential equations. Can it be that they are **not D-finite**?

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The remaining 19 models are mysterious. Even on a super-computer we were not able to find any evidence for possible differential equations. Can it be that they are **not D-finite**?

This would imply that the equivalence between D-finiteness and a finite group does not carry over to walks in three dimensions.

