# **EXPLICIT MODAL LOGICS** of single-conclusion proof systems

Vladimir Krupski (MSU)

Joint work with

Sergei Artemov (CUNY) and Nikolai Krupski (MSU)

September 2005

Formal proof theory T – a theory in which the human arguments about proofs and provability should be formalized.

#### Requirements:

- encodings for formulas, proofs and programs
- Provable(x) "x is provable"
- Proof(x,y) "x is a proof of y"

Suitable candidates:  $T = PA, ZF, \dots$ 

But all of them are VERY UNFRIENDLY in this role: axioms and rules say nothing about proofs and provability.

Improvements – proof theoretical interfaces for T:

Provable — modal provability logics (**GL/S4**) Proof — logics of proofs (**FPL/LP**)

# Verification of decision procedures.

$$Decide(\lceil \varphi \rceil) \xrightarrow{\text{yes } (\varphi \text{ is valid})}$$
fail

"Private" verification (for oneself):

establish 
$$Decide(\lceil \varphi \rceil) = \text{yes} \Rightarrow Provable(\lceil \varphi \rceil).$$

"Public" verification:

construct 
$$t$$
 s.t.  $Decide(\lceil \varphi \rceil) = \text{yes} \Rightarrow Proof(t, \lceil \varphi \rceil),$  distribute  $t$  + trusted  $ProofChecker()$ .

# Core proof logic language:

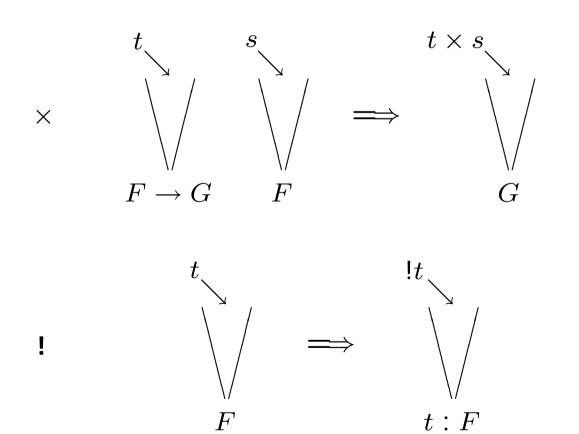
$$p_0, p_1, \dots - \text{proof variables} \\ !^1, \times^2 - \text{operations on proofs}$$
  $\longrightarrow \mathbf{Tm}$ 

$$S_0, S_1, \ldots$$
 - sentence variables  $\rightarrow$  -,  $\vee$ ,  $\wedge$ ,  $\rightarrow$ ,  $(\_:\_)$ 

$$\frac{t \in \mathbf{Tm}, \quad F \in \mathbf{Fm}}{(t:F) \in \mathbf{Fm}}$$

# Informal semantics:

t: F — the arithmetical statement "t proves F",  $\times, !$  — act on proof codes:



Single-valued proof predicates – reflect the external derivations:

"x is a code of a derivation and y is the code of its last formula"

 $p: F \land p: G \Rightarrow F = G$  How to formalize this without "="?

$$t_1: F_1 \wedge \ldots \wedge t_n: F_n \longmapsto S:=\{t_i=t_j \Rightarrow F_i=F_j \mid 1 \leq i, j \leq n\}$$

Def: A unifier  $\sigma$  of S is a substitution s.t.  $t_i \sigma \not\equiv t_j \sigma$  or  $F_i \sigma \equiv F_j \sigma$  holds for every i, j.

Def:  $A = B \pmod{S}$  iff  $A\sigma \equiv B\sigma$  for every unifier  $\sigma$  of S.

Lemma: The relation  $A = B \pmod{S}$  is decidable.

#### Unification axioms:

$$t_1: F_1 \wedge \ldots \wedge t_n: F_n \to (A \leftrightarrow B)$$
 when  $A = B \pmod{S}$ .

# System FLP (Single-conclusion proof logic)

- A0. Propositional axioms and rules
- A1.  $t:(F \to G) \to (s:F \to ts:G)$
- A2.  $t: F \to F$
- A3.  $t:F \rightarrow !t:(t:F)$
- A4. Unification axioms

Theorem 1: **FLP** is sound and complete w.r. to arithmetical provability interpretations based on single-valued proof predicates.

Theorem 2: FLP is decidable.

Theorem 3: The rule with a scheme  $\frac{F_1,\ldots,F_n}{F}$  is **PA**-admissible

iff  $\mathbf{FLP} \vdash F_1 \land \ldots \land F_n \rightarrow F$ .

Moreover, all the operations on **PA**-derivations induced by admissible rules of this kind can be represented by proof terms (Lifting Lemma).

# Language extension by references

Ex: goal(t) such that  $t: F \Rightarrow \text{goal}(t) = F \quad \forall t, F$ 

Axiom scheme:  $t: F \to t : goal(t)$ 

NB: goal cannot be a constant function symbol here:  $(A \wedge B)$  and goal(t) must be unifiable, otherwise  $t: (A \wedge B), \ t: \texttt{goal}(t) \vdash \bot$  (from Unification axiom). So,  $\vdash \neg t: (A \wedge B)$ . The same with  $\neg, \lor, \rightarrow, :$ .

goal() is SO variable, or reference

We use more powerful unification algorithm that can deal with SO variables. The set of all Unification axioms is still decidable.

#### Example with pattern matching:

refl(t) such that 
$$t:(s:F) \Rightarrow \text{refl}(t) = s \quad \forall t, s, F$$

Axiom scheme: 
$$t: (s:F) \to t: (refl(t):F)$$

Here  $\varphi(x)$  is a pattern, x is a metavariable.  $t \longmapsto G := \gcd(t) \longmapsto \operatorname{match} G$  with  $\varphi(x)$ ; return x.

#### General case:

$$f(t)$$
 such that  $t: \varphi(\ldots, Y, \ldots) \Rightarrow f(t) = Y;$  
$$\varphi = F_0 \wedge p_1: F_1 \wedge \ldots \wedge p_n: F_n \text{ where } F_i = F_i(p_1, \ldots, p_n; S_1, \ldots, S_m).$$

# System $FLP_{ref} = FLP + (all references)$

The scope of Unification axioms (A4) now includes references. The semantics of  $A = B \pmod{S}$  relation involves Second Order unification, but in restricted form which still remains decidable.

Theorems 1',2',3'.  $FLP_{ref}$  is decidable, sound and complete w.r. to arithmetical single-conclusion proof interpretations. It provides the same admissibility test for arithmetical inference rules specified by schemes in  $FLP_{ref}$ -language.

#### Ex:

```
\begin{split} & \text{is\_proof}(t) := t \colon \text{goal}(t) \text{ means "$t$ is a complete proof"}; \\ & \exists \overline{x}_{t:\varphi(\overline{x})} \, F(\overline{x}) := \, t \colon \varphi(\overline{\mathbf{g}}(t)) \wedge F(\overline{\mathbf{g}}(t)); \\ & \forall \overline{x}_{t:\varphi(\overline{x})} \, F(\overline{x}) := \, t \colon \varphi(\overline{\mathbf{g}}(t)) \to F(\overline{\mathbf{g}}(t)). \\ & \frac{\text{is\_proof}(p)}{\text{goal}(p)} \quad \frac{\text{is\_proof}(p)}{\text{refl}(!p) \colon \text{goal}(p)} \quad \frac{p \colon \neg \text{goal}(p)}{\bot} \\ & \frac{\exists S_0, S_{1_{p_0:(S_0 \to S_1)}} \, p_1 \colon S_0}{\text{is\_proof}(p_0 p_1)} \end{split}
```

# Reflexive combinatory logic

**RCL**→ (Artemov, 2003), extends **CL**→ (Curry).

!
$$t$$
,  $t \cdot s$ ,  $t : F$ ,  $F \rightarrow G$ 

Rigid typing:  $x_i^F$  (typed proof variables);  $\mathbf{k}^{(...)} \mathbf{s}^{(...)}$ ,  $\mathbf{d}^{(...)}$ ,  $\mathbf{o}^{(...)}$ ,  $\mathbf{c}^{(...)}$  (typed proof constants).

Inductive definitions for two judgements:

- "F is well formed formula"
- " Γ ⊢ F "

For every t there is at most one F s.t. t:F is well formed.

### **RCL**→, wf-rules:

Standard wf-rules from  $\mathbf{CL}_{\rightarrow}$  for  $\rightarrow$ ,  $\cdot$ ,  $\mathbf{k}^{(...)}$ ,  $\mathbf{s}^{(...)}$ ;

$$\frac{F \text{ -wf}}{x_i^F : F \text{ -wf}} \qquad \frac{t : F \text{ -wf}}{d^{t : F \rightarrow F} : (t : F \rightarrow F) \text{ -wf}}$$

$$\frac{u : (F \rightarrow G), \ v : F \text{ -wf}}{o^{(...)} : (u : (F \rightarrow G) \rightarrow (v : F \rightarrow uv : G)) \text{ -wf}}$$

$$\frac{t : F \text{ -wf}}{c^{(...)} : (t : F \rightarrow !t : t : F) \text{ -wf}}$$

" F -wf" is polynomial time decidable. (N. Krupski)

# **RCL**→, derivability:

Precondition: all formulas below must be well formed.

**Axioms:**  $t: F \to F$ 

$$\mathbf{k}^{(...)}$$
:  $(F \rightarrow (G \rightarrow F))$ 

$$\mathbf{s}^{(...)}:((F \to (G \to H)) \to ((F \to G) \to (F \to H)))$$

$$\mathbf{d}^{(...)}:(t:F\to F)$$

$$\mathbf{o}^{(\dots)}:(u:(F\to G)\to (v:F\to uv:G))$$

$$\mathbf{c}^{(\dots)}:(t:F\to !t:t:F)$$

**Rule:**  $F \rightarrow G$ ,  $F \vdash G$ .

" $\Gamma \vdash F$ " is PSPACE-complete. (N. Krupski)